

February 2021

Hwa-Jin Kim

B.S. Math (SNU), Dr. Jur. (Munich), LL.M. (Harvard)

- Professor of Law, Seoul National University School of Law
- L. Bates Lea Global Professor of Law, Michigan Law School
- Outside Director, Hyundai Heavy Industries Holdings
- Outside Director, Macquarie Korea Infrastructure Fund Corporation
- Chair, Korea Corporate Governance Service Proxy Advisory Committee
- Founding Chair, Seoul Corporate Governance Forum

- Member, Seoul National University Asset Management Committee, 2019 - 2021
- Senator, Seoul National University Faculty Senate, 2018 - 2020
- William W. Cook Global Professor of Law, Michigan Law School, 2011 - 2020
- Global Professor of Law, New York University Law in Shanghai, 2018
- Public Director, Korea Financial Investment Association, 2011 - 2017
- Outside Director, Hyundai Robotics Company, 2017 - 2019
- Visiting Professor, Tel Aviv University The Buchmann Faculty of Law, 2009
- Editor-in-Chief, Journal of Korean Law, 2007 – 2009
- Vice Dean, Seoul National University School of Law, 2007 - 2008
- Lecturer in Law, Stanford Law School, 2002
- M&A, Corporate Governance and Finance Law Practice, 1994 - 2006
- Three-Time Winner of *the Korean National Academy of Sciences Book Award*

Academic Positions

- **Seoul National University School of Law**
 - Professor of Law, 2011 – Present
 - Associate Professor of Law, 2006 – 2011
 - Vice Dean for International Affairs, 2007 – 2008
 - Editor-in-Chief, **Journal of Korean Law**, 2007 – 2009
- **Seoul National University**
 - Member, Asset Management Committee, 2019 - 2021
 - Senator, Faculty Senate, 2018 – 2020
 - Member, Committee for Appeals on Appointment and Tenure, 2020 - Present
 - Member, Startup School Preparatory Committee, 2019 - 2021
 - Member, University Press Planning Committee, 2016 – 2021
 - Faculty Fellow, Institute for Research in Finance and Economics, 2009 – Present
- **University of Michigan Law School**
 - L. Bates Lea Global Professor of Law, 2020 – Present
 - William W. Cook Global Professor of Law, 2011 – 2020
- **New York University Law in Shanghai**
 - Global Professor of Law, 2018
- **IDC Herzliya Harry Radzyner Law School**
 - International Visiting Professor, 2011
- **Tel Aviv University The Buchmann Faculty of Law**
 - Visiting Professor, 2009
- **Stanford Law School**
 - Lecturer in Law, 2002
 - Co-organizer, Stanford Cross-Listing Conference, 2002
 - Advisor, Stanford Program in International Legal Studies, 2003
- **Korea University Business School**
 - Adjunct Professor by University President's Special Appointment, 2005 – 2006
- **Seoul National University Business School**
 - Adjunct Professor, 2002 – 2014, 2018 - 2019
- **Korean Supreme Court Judicial Research and Training Institute**
 - Lecturer, 1997 – 1999
- **Ludwig-Maximilians-University Munich**
 - Research Assistant, Institute of International Law, 1987 - 1989

Courses

- **International Corporate Governance** (in English)
- **Investment Banking** (in English)
- **Corporate Law** (in Korean)
- **Corporate Governance of Large Korean Firms** (in Korean)
- **Law and Business** (in Korean)
- **Mergers and Acquisitions** (in Korean)

Outside Directorships

- **Hyundai Heavy Industries Holdings** (The world's largest shipbuilder and 5th largest refinery)
 - Outside Director, 2018 – Present
 - Chair, Audit Committee, 2018 – 2020
 - Chair, Related Party Transaction Committee, 2018 – 2020
 - Chair, Nominating Committee, 2020 – Present
- **Macquarie Korea Infrastructure Fund Corporation** (The largest mutual fund in Korea)
 - Outside Director, 2019 – Present
- **Hyundai Robotics Company** (The world's 5th largest industrial robot manufacturer)
 - Outside Director, 2017 – 2018
 - Chair, Audit Committee, 2017 – 2018
 - Chair, Related Party Transaction Committee, 2017 – 2018
- **Korea Financial Investment Association** (SRO est. by the Korean Capital Markets Act)
 - Public Director, 2011 – 2017
 - Member of the Nominating Committee, 2015
 - Member of the Compensation Committee, 2011 – 2017
 - Chair, Committee for the ERI Studies, 2011

Other Professional Positions and Activities

- **Seoul Corporate Governance Forum**
 - Founding Chair, 2016 – Present
- **The NEXT Corporate Governance Conference**
 - Founding Chair and Organizer, 2011 – Present
 - Invited 130 speakers from 15 countries (as of 2020)
- **20th Anniversary of Chung Ju-yung's Decease**
 - Member, Hyundai Companies Preparatory Committee, 2020 - 2021
 - Member, Asan Foundation English Memoire Review Roundtable, 2020

- Judge, Asan Foundation Memoire Report Competition, 2020 - 2021
- **University of Michigan LSA Nam Center for Korean Studies**
 - Core Faculty, 2011 – Present
- **The Bell Finance Academy**
 - Founding Executive Director, 2010 – Present
 - Designed 23 executive education programs; supervised 600 classes taught by 172 academic and professional instructors
- **Korea Corporate Governance Service, Inc.**
 - Chair, Proxy Advisory Committee, 2016 – 2022
 - Member, Corporate Governance Committee, 2016 – 2022
 - Fellow, 2005 -2009
- **Economic Policy Forum of the Korean National Assembly**
 - Member of the Advisory Board, 2016 – 2017
- **Samsung Securities**
 - Member of the Advisory Board, 2012 - 2016
- **Korea Securities Depository**
 - Chair, Derivative Services Committee, 2010 – 2015
- **Federation of Korean Industries**
 - Chair, Corporate Law Roundtable, 2015 – 2016
- **Korea Institute of Directors**
 - Member of the Steering Committee, 2006 – 2009
 - Director, BOD Staff Education Program, 2006 - 2009
- **KT (Korea Telecom) Corporation**
 - Chair, Corporate Governance Study Group, 2008
- **Korea Association for Chief Financial Officers, Inc.**
 - Member of the Board of Directors, 2003 – 2011
 - Member of the Steering Committee, 2005 - 2012
 - Member of the Founding Committee, 2001
- **Korean Securities Law Association, Inc.**
 - Member of the Board of Directors, 1998 – 2008
 - Executive Managing Director & Secretary, 1998 – 2001
- **Member of the New York State Bar**, since 1994 (inactive)

Korean Government Advisory (Committee Memberships)

- Korea National Pension Fund Alternative Investment Committee, 2016 – 2017
- Korea National Pension Fund Proxy Advisory Committee (representing Korean National

- Agricultural Cooperative Federation), 2007 – 2011
- Korea Financial Supervisory Service, 2007, 2009 - 2011
- Korea Fair Trade Commission, 2005 - 2007
- Korean Ministry of Justice, 2007 - 2008
- Office of the President of Korea, 2005
- National Economic Advisory Council to the President of Korea, 2005 - 2006

Korean Industries Advisory (Committee Memberships)

- Federation of Korean Industries, 2003 – 2006, 2015 – 2016
- Korea Chamber of Commerce and Industry, 1999 - 2001
- Korea Federation of Small and Medium Business, 1999 - 2000
- KOSDAQ Listed Companies Association, 2000 - 2008
- Korea Listed Companies Association, 2002 - 2003

Op-Ed Columnist

- **Money Today**, 2007 - Present http://www.mt.co.kr/column/opinion_list.html?code=column331
- **thebell**, 2018 - Present
- **News 1**, 2018 – 2021 http://news1.kr/columns/list/?kimhj_column
- **Korea Economic Daily**, 2003 - 2004, 2013 - 2014
- **Seoul Daily Newspaper**, 2005
- **Star News**, 2017 – 2018
- **Movist**, 2019 - 2020
- Frequent Contributor to **Maeil Business Newspaper**, **Korea JoongAng Daily**, **Munhwa Ilbo**, **Kyungbuk Domin Ilbo**, **Kyungsang Today**, **Korea Customs Newspaper**, **Asan Foundation Quarterly**, **Seoul National University Alumni Association Magazine**, and **Seoul National University Daehak Shinmoon**

Education

- **Harvard Law School**
 - LL.M., 1994
 - Thesis Supervisor and Advisor: Prof. Reinier Kraakman, Prof. Lucian Bebchuk (Thesis: Markets, Financial Institutions, and Corporate Governance: Perspectives from Germany)
- **Northwestern University School of Law**
 - LL.M. & Admitted to the S.J.D. Program, 1993

- Dean's List
- James Nelson Raymond Fellow
- **Ludwig-Maximilians-University Munich**
 - Dr. Jur. *magna cum laude*, 1988; Konrad Adenauer Scholar
 - Dissertation Committee: Prof. Bruno Simma (Chair), Prof. Rudolf Geiger; Doctoral Exam Committee: Prof. Wolfgang Fikentscher (Chair), Prof. Bruno Simma, Prof. Lothar Philipps (Dissertation: Die Abgrenzung der Wirtschaftszone und die Frage einer dualistischen Abgrenzung: Perspektiven in Ostasien [The Delimitation of the Exclusive Economic Zones in East Asia])
- **Seoul National University**
 - B.S. Mathematics, 1983.

Publications (in Korean, English, German, and Russian)

In English

- Private Enforcement of Company Law and Securities Regulation in Korea, in: **Enforcement of Corporate and Securities Law: China and the World** 444 – 453 (Robin Huang & Nicholas Howson eds., Cambridge University Press, 2017)
- Financial Regulation and Supervision in Corporate Governance of Banks, 41 **Journal of Corporation Law** 707 – 726 (2016) https://papers.ssrn.com/sol3/papers.cfm?abstract_id=2655024
- Concentrated Ownership and Corporate Control: Wallenberg Sphere and Samsung Group, 14 **Journal of Korean Law** 39 – 59 (2014) https://papers.ssrn.com/sol3/papers.cfm?abstract_id=2463272
- Favoritism and Corporate Law: The Confused Corporate Opportunity Doctrine in the Hyundai Motor Case, 3 **Michigan Journal of Private Equity & Venture Capital Law** 41 – 74 (2013) (w/ Seung-Hwan Lee and Stephen Woodcock) https://papers.ssrn.com/sol3/papers.cfm?abstract_id=2308998
- **Korean Business Law** (Edward Elgar, 2012) 291 Pages: Edited Volume with contributions by Bernard Black, Brian Cheffins, Michael Klausner, Adam Pritchard et al. (Book Review in 4248 **The Barrister** [2012]) * *Recognized by the Seoul National University Law Research Institute as the representative publication of 2012 supported by the Institute.* http://www.elgar.co.uk/bookentry_main.lasso?id=14833
- How to Improve Corporate Governance and Transparency, in: **Globalization of the Korean Capital Markets** (Stanford University Walter H. Shorenstein Asia-Pacific Research Center, 2012)
- The Market for Corporate Control in Korea, in: **Korean Business Law** 237 – 258 (Edward Elgar,

- 2012); an updated and abbreviated version of The Case for Market for Corporate Control in Korea, 2009 **Oxford University Comparative Law Forum 2**
- Private Equity in Korea: History, Industry and Policy, in: **Korean Business Law** 199 – 217 (Edward Elgar, 2012) (w/ Alice Z. Chen)
 - Directors' Duties and Liabilities in Korea, in: **Korean Business Law** 1 – 26 (Edward Elgar, 2012) (w/ Sung-Joon Park); an updated and abbreviated version of Hwa-Jin Kim, Directors' Duties and Liabilities in Corporate Control and Restructuring Transactions: Recent Developments in Korea, 2006 **Oxford University Comparative Law Forum 2**
 - A Global Structural Regulation of Financial Institutions?, 52-4 **Seoul Law Journal** 169 - 213 (2011)
 - Importing Hazardous Substances from the United States?: The Poison Pill in Japan and Korea, 10 **Journal of Korean Law** 1 – 41 (2010) (w/ Haruka Okihara and Stephen Woodcock)
 - **The Law and Practice of Corporate Acquisitions in Korea: Journal of Korean Law** Vol. 8 No. 2 (2009) 259 Pages: Edited Volume
 - International Corporate Governance: A Select Bibliography, 8 **Journal of Korean Law** 201 – 226 (2008)
 - The Case for Market for Corporate Control in Korea, 2009 **Oxford University Comparative Law Forum 2** <http://ouclf.iuscomp.org/the-case-for-market-for-corporate-control-in-korea>; published simultaneously in 8 **Journal of Korean Law** 227 - 276 (2009)
 - Legal Liability of Directors and Company Officials Part 2: Court Procedures, Indemnification and Insurance, and Administrative and Criminal Liability, 2008 **Columbia Business Law Review** 1 – 171 (w/ Bernard Black, Brian Cheffins, Martin Gelter, Richard Nolan, Mathias Siems, and Linia Prava Law Firm)
 - Comparative Analysis on Legal Regulation of the Liability of Members of the Board of Directors and Executive Organs of Companies (Report to Russian Center for Capital Market Development, 2006) 320 Pages (w/ Bernard Black, Brian Cheffins, Martin Gelter, Richard Nolan, Mathias Siems, and Linia Prava Law Firm): European Corporate Governance Institute Law Working Paper No. 103/2008 (February 2008) <http://ssrn.com/abstract=1001990>
 - A Tale of Three Companies: The Emerging Market for Corporate Control in Korea, in: **Transforming Corporate Governance in East Asia** 71 – 90 (Hideki Kanda et al. eds., Routledge, 2008)
 - Legal Liability of Directors and Company Officials Part 1: Substantive Grounds for Liability, 2007 **Columbia Business Law Review** 614 – 799 (w/ Bernard Black, Brian Cheffins, Martin Gelter, Richard Nolan, Mathias Siems, and Linia Prava Law Firm)
 - Directors' Duties and Liabilities in Corporate Control and Restructuring Transactions: Recent Developments in Korea, 2006 **Oxford University Comparative Law Forum 2**
 - Cross-Listing of Korean Companies on Foreign Exchanges: Law and Policy, 3 **Journal of**

Korean Law 1 – 16 (2003)

- Toward the “Best Practice” Model in a Globalizing Market: Recent Developments in Korean Corporate Governance, 2 **Journal of Corporate Law Studies** 345-366 (2002)
- **Self-Regulation in the Korean Securities Market** (Korean Securities Law Association, 2002) 86 Pages: Edited Volume
- Searching for a Model for Effective Self-Regulation in Korea, in: **Self-Regulation in the Korean Securities Market** 7-15 (Korean Securities Law Association, 2002)
- Taking International Soft Law Seriously: Its Implications for Global Convergence in Corporate Governance, 1 **Journal of Korean Law** 1 – 50 (2001) * *Inaugural article of the Journal.*
- New Special Purpose Companies in Korean Financial Markets, in **Recent Transformations in Korean Society and Law** 171 – 196 (Dae-Kyu Yoon ed., Seoul National University Press, 2000)
- Living with the IMF: A New Approach to Corporate Governance and Regulation of Financial Institutions in Korea, 17 **Berkeley Journal of International Law** 61 – 94 (1999); reprinted in Roman Tomasic & Leon Wolff eds., **Commercial Law in East Asia** 153 - 186 (Routledge, 2014)
- Markets, Financial Institutions, and Corporate Governance: Perspectives from Germany, 26 **Georgetown Journal of International Law** 371 – 405 (1995)

In German

- Unterschiedliche Abgrenzung der 200 Seemeilen-Wirtschaftszone und des Festlandssockels? (Single Maritime Boundary Regime for the Exclusive Economic Zone and the Continental Shelf?), 40 **Zeitschrift für öffentliches Recht** (Austrian Journal of Public and International Law) 257 – 292 (1989)
- **Die Abgrenzung der Wirtschaftszone und die Frage einer dualistischen Abgrenzung: Perspektiven in Ostasien** (The Delimitation of the Exclusive Economic Zones in East Asia) (Munich: Verlag V. Florentz, 1989) 187 Pages * *Ludwig-Maximilians-University Munich Dr. Jur. Thesis, 1988.*

In Russian

- **Правовое Регулирование Ответственности Членов Органов Управления: Анализ Мировой Практики** (Legal Regulation of the Liability of Members of Management Organs: An Analysis of International Practice) (Moscow: Alpinabook, 2010) 332 Pages (w/ Bernard Black, Anastasiya Farukshina, Brian Cheffins, Martin Gelter, Richard Nolan, Mathias Siems, and Linia Prava Law Firm) <https://www.alpinabook.ru/catalog/Law/6565-comments/>
- Отчет о результатах сравнительного анализа правового регулирования ответственности членов органов управления хозяйственных обществ (Comparative Analysis on Legal

Regulation of the Liability of Members of the Board of Directors and Executive Organs of Companies) (Report to Russian Center for Capital Market Development, 2006) 320 Pages (w/ Bernard Black, Brian Cheffins, Martin Gelter, Richard Nolan, Mathias Siems, and Linia Prava Law Firm): European Corporate Governance Institute Law Working Paper No. 103/2008 (February 2008) <http://ssrn.com/abstract=1001991>

In Korean

Books and Edited Volumes

- **Corporate Governance** (Seoul: thebell)
2nd ed. forthcoming, 2021, ca. 1300 Pages; 1st ed., 2017, 1212 Pages
- **Asan Chung Ju-yung Legacy** (Seoul National University Press, 2021) 308 Pages
- **Corporate Governance of Large Family Firms** (Seoul: thebell, 2020) 376 Pages
- **Mergers and Acquisitions: History and Strategy** (Seoul: thebell, 2019) 515 Pages
- **Stanford Went to Harvard** (Seoul National University Press, 2018) 348 Pages
- *Seoul National University Alumni Association Book of the Month*
Seoul National University Alumni Association Magazine
<https://www.snua.or.kr/magazine?md=v&seqidx=8768>
Newsis
http://www.newsis.com/view/?id=NISX20181224_0000511351&cID=10701&pID=10700
News1
<http://news1.kr/articles/?3509469>
Money Today
<http://news.mt.co.kr/mtview.php?no=2018122608261281362>
Jung-Ang Ilbo
<https://news.joins.com/article/23234757>
Domg-A Ilbo
<http://news.donga.com/List/CultureLiter/3/all/20181226/93445537/1>
University News Network
<http://news.unn.net/news/articleView.html?idxno=205372>
Readers News
<http://www.readersnews.com/news/articleView.html?idxno=91546>
Maeil Business Newspaper
<http://news.mk.co.kr/newsRead.php?year=2019&no=24003>
- **Governance and Innovation in Large Korean Firms** (Seoul: thebell, 2018) 297 Pages
- **Behind the Screen** (Seoul: Star News, 2018) 375 Pages

- **Korea Agenda 2018** (Seoul: Nanok, 2018) 310 Pages (one of 21 co-authors)
- **Theories in International Law** (Seoul: Pakyungsa, 2017) 257 Pages
- **Law and Business** (Seoul: Pakyungsa)
7th ed., 2017, 602 Pages; 6th ed., 2016, 698 Pages; 5th ed., 2015, 726 Pages; 4th ed., 2013, 599 Pages; 3rd ed., 2012, 445 Pages; 2nd ed., 2011, 443 Pages; 1st ed., 2010, 366 Pages
- **Korea Agenda 2017** (Seoul: Nanok, 2017) 402 Pages (one of 20 co-authors)
- *2017 Publication Industry Promotion Agency of Korea Book Award Winner*
- **Theoretical Foundations of Securities Regulation** (Seoul: Pakyungsa)
2nd ed., 2016, 423 Pages
1st ed., 2014, 243 Pages - *2015 Korean National Academy of Sciences Book Award Winner*
- **The Gaesung Complex as Industrial Cluster for Reunification** (Seoul: Nanok, 2015) 163 Pages (one of 15 co-authors)
- **Investment Banking** (Seoul: thebell)
2nd ed., 2015, 1117 Pages; 1st ed., 2013, 921 Pages
- **A Samsung Electronics in Finance** (Seoul: thebell, 2014) 303 Pages
- **Hollywood Politics and Economics** (Seoul: Human Cube, 2014) 311 Pages
- **Corporate Finance and Governance** (Seoul: Pakyungsa)
2nd ed., 2012, 1102 Pages
1st ed., 2009, 871 Pages - *2010 Korean National Academy of Sciences Book Award Winner*
- **Mergers and Acquisitions** (Seoul: Pakyungsa, 2007) 414 Pages (w/ O. R. Song)
- *2008 Korean Ministry of Culture, Sports and Tourism Book Award Winner*
- **The Law and Practice of the Board of Directors** 2nd ed. (Seoul: Pakyungsa, 2007) 408 Pages
- **Hostile Takeover and Defensive Tactics** (Seoul National University Center for Financial Law, 2007) (w/ O. R. Song) 327 Pages: Edited Volume
- **Legal Analysis of M&A Contracts (Business Finance Law Vol. 20, 2006, Seoul National University Center for Financial Law)** 140 Pages: Edited Volume
- **The Law and Practice of the Board of Directors** (Seoul: Pakyungsa, 2005) 343 Pages
- **The Law and Practice of Takeovers (Business Finance Law Vol. 6, 2004, Seoul National University Center for Financial Law)** (w/ K. S. Kim) 129 Pages: Edited Volume
- **Corporate Ownership and Control** (Seoul: Pakyungsa, 2003) 582 Pages
- *2005 Korean National Academy of Sciences Book Award Winner*
- **M&A and Corporate Control** (Seoul: Pakyungsa)
3rd ed., 1999, 432 Pages; 2nd ed., 1999, 411 Pages; 1st ed., 1997, 360 Pages
- **M&A Across the European Communities and the Governing Regulations** (Seoul: First Economic Research Institute, 1992) 117 Pages
- **The European Financial Common Market** (Seoul: First Economic Research Institute, 1991) 183 Pages

Articles and Book Chapters

- Corporate Governance of Investment Companies, 93 **Korea Corporate Governance Service Review** 3 - 21 (2019)
- Contemporary Theories on the Function of International Law, 161 **Korean Society of Law Journal** 297 – 332 (2017)
- Dual-Class Capital Structures under the U.S. Corporate Law, 78 **Korean Ministry of Justice Law Journal** 29 – 48 (2017); an abbreviated version in 84 **Korea Corporate Governance Service Review** 42 - 58 (2017)
- The South China Sea Dispute and the Changing Regional Order in Asia, 23-2 **Seoul International Law Journal** 1 – 30 (2016)
- Evolution and Transformation of Corporate Law, 38 **Korean Supreme Court Review** 245 – 274 (2016)
- Corporate Governance and Corporate Law in Germany: Recent Developments, 75 **Korean Ministry of Justice Law Journal** 133 – 155 (2016)
- Business Corporations in the New International System and International Law, 457 **Korean Bar Association Journal** 89 – 103 (2016)
- Portfolio Asset Management and the Capital Markets Act, 35 **Korean Supreme Court Review** 117 – 156 (2016) (w/ M. K. Lee)
- Empowering Shareholders Through the Shareholders Meeting, 73 **Korean Ministry of Justice Law Journal** 5 – 47 (2016)
- The Law Review Institution in the United States, 155 **Korean Society of Law Journal** 407 – 421 (2016)
- Economic and Financial Sanctions and Enforcement of International Law, 154 **Korean Society of Law Journal** 213 – 239 (2016)
- Case Review: Elliott Management v. Samsung C&T Corporation, 72 **Korean Ministry of Justice Law Journal** 179 – 196 (2015)
- The New Global Financial System and International Law, 451 **Korean Bar Association Journal** 21 – 37 (2015); an abbreviated version in 169 **Korea Financial Investment Association Journal** 30 - 46 (2015)
- Corporation, Politics, and Religion: Constitutional Law and Corporate Law, 149 **Korean Society of Law Journal** 5 – 30 (2015)
- Towards a New Definition of Public Corporation in Corporate and Securities Laws, 444 **Korean Bar Association Journal** 54 – 72 (2014)
- Silence and Half-Truths: Civil Law and Securities Regulation, 15-3 **Korean Journal of Securities Law** 35 – 69 (2014)

- Equal Treatment in Corporate and Capital Market Laws, 68 **Korean Ministry of Justice Law Journal** 3 – 32 (2014)
- Rethinking Causation in Securities Fraud Litigations, 144 **Korean Society of Law Journal** 209 – 239 (2014); an earlier version in 162 **Korea Financial Investment Association Journal** 49 – 58 (2014)
- Regulation of Shadow Banking under the New Capital Markets Law, 155 **Korea Financial Investment Association Journal** 12 - 22 (2013)
- Cultivating Bank Directors' Duty to Monitor Business Risk: Citigroup Shareholder Derivative Litigation, 14 **Korea Financial Investment Association Journal** 24 - 33 (2012) (w/ J. Y. An)
- Control Premium in Corporate Control Contests, 427 **Korean Bar Association Journal** 81 – 94 (2012)
- Buy-back of Redeemable Preferred Shares from Distributable Reserves, 421 **Korean Bar Association Journal** 105 – 114 (2011)
- Review Essay: From Bilateralism to Community Interest: Essays in Honour of Judge Bruno Simma, 52-3 **Seoul Law Journal** 623 – 632 (2011)
- Corporate Governance of Banks and Bank Director Liability, 51-4 **Seoul Law Journal** 151 – 184 (2010)
- The Financial Services Industry in the Global Financial Crisis: History and Strategies, 51-3 **Seoul Law Journal** 125 – 180 (2010); an abbreviated version in 32 **Quarterly Journal of the Korea Association for Chief Financial Officers** 60 – 73 (2009)
- Government in Corporate Governance, Part 2, 409 **Korean Bar Association Journal** 23 – 38 (2010) * *Recognized by the Korean Bar Association as the best article of 2010.*
- Government in Corporate Governance, Part 1, 408 **Korean Bar Association Journal** 60 – 81 (2010) * *Recognized by the Korean Bar Association as the best article of 2010.*
- The Curious Case of Shareholder-Manager Power Struggle, 50-2 **Seoul Law Journal** 415 – 449 (2009)
- The Appraisal Remedy Revisited, 393 **Korean Bar Association Journal** 29 – 45 (2009)
- Freeze-out of Minority Shareholders under the Draft New Commercial Code, 50-1 **Seoul Law Journal** 321 – 350 (2009)
- The Content Wars: Convergence and the Media Merger, 109 **Korean Society of Law Journal** 136 – 160 (2009)
- The Global Energy Industry and International Relations, 2-1 **Journal of Law & Economic Regulation** 147 – 171 (2009)
- Porsche v. Volkswagen, 2 **Korea Financial Investment Association Journal** 11 - 21 (2009)
- Review Essay: Der Gleichbehandlungsgrundsatz im Recht der Kapitalgesellschaften, 49-3 **Seoul Law Journal** 492 – 501 (2008)
- CEO Pay and Article 368 Paragraph 4 of the Commercial Code, 102 **Korean Society of Law**

- Journal** 52 – 64 (2008)
- Listed Companies and the Draft New Commercial Code, 49-1 **Seoul Law Journal** 159 – 230 (2008)
 - Takeovers and Information Technology Firms, in: **Science, Technology and Law** 522 – 545 (Seoul National University Center for Law and Technology, 2007); reprinted in 36 **Korea Corporate Governance Service Review** 12 – 28 (Korea Corporate Governance Service, 2008)
 - Corporate Finance from a Legal Perspective, 49-4 **Seoul Law Journal** 518 – 573 (2008)
 - The Role and Legal Status of the Chief Financial Officers in Large Korean Companies, 49-2 **Seoul Law Journal** 97 – 132 (2008)
 - A History of Investment Banking: Politics and Capital Markets, 134 **Korea Securities Dealers Association Journal** 94 – 123 (2008)
 - Conflicts of Interest in Investment Banking, 14-3 **Korean Journal of Comparative Private Law** 33 – 91 (2007); reprinted in 25 **Quarterly Journal of the Korea Association for Chief Financial Officers** 28 – 49 (2009)
 - The Emerging Market for Corporate Control and Hedge Fund Activism in Korea, 48-1 **Seoul Law Journal** 236 – 279 (2007)
 - Corporate Opportunity Doctrine in the Draft New Commercial Code, 30 **Korea Corporate Governance Service Review** 15 – 35 (2007) (w/ B. S. Moon)
 - Review: Unternehmerisches Ermessen, 48-3 **Seoul Law Journal** 291 – 296 (2007)
 - Corporate Governance in Groups of Companies, 362 **Korean Bar Association Journal** 6 – 47 (2006); reprinted in 29 **Korea Corporate Governance Service Review** 15 – 45 (2006)
 - Hostile Takeovers and Foreign Capital, 51 **Quarterly Journal of the Korea Listed Companies Association** 159 – 190 (2005)
 - Ownership and Governance of Stock Exchanges, 124 **Korea Securities Dealers Association Journal** 17 – 31 (Fall 2005)
 - Understanding the New Securities Class Action Act, 8 **Quarterly Journal of the Korea Association for Chief Financial Officers** 14 - 24 (2005)
 - Compliance with International Law: Economic Perspectives, 45-3 **Seoul Law Journal** 212 – 249 (2004)
 - Recent Developments in Takeovers and Takeover Laws, 6 **Business Finance Law** 31 – 62 (Seoul National University Center for Financial Law, 2004)
 - Delisting and the Leveraged Buyout, 6 **Quarterly Journal of the Korea Association for Chief Financial Officers** 29 – 38 (2004) (w/ O. R. Song)
 - Directors' Liabilities and the Business Judgment Rule, 5 **Quarterly Journal of the Korea Association for Chief Financial Officers** 6 - 42 (2004)
 - Review: The Anatomy of Corporate Law, 7 **Business Finance Law** 139 – 143 (2004)
 - Implications of the U.S. Sarbanes-Oxley Act for International Corporate Governance and

- Finance, 5 **Business Finance Law** 65 - 86 (2004)
- Improving Corporate Governance and Capital Markets Through Cross-Listing on Foreign Exchanges, 44 **Quarterly Journal of the Korea Securities Depository** 3 – 37 (2003)
 - Cross-Listing and Cross-Border Acquisitions of German Companies: Lessons for Korea, 43-2 **Seoul Law Journal** 28-78 (2002)
 - Private Sector Development and International Law, in: **Festschrift for Professor Sang-Hyun Song** 758-776 (Pakyoungsa, Seoul, 2002)
 - Review Essay: The International Application of Securities Laws, 2 **Korean Journal of Securities Law** 239- 247 (2001)
 - Introduction to the International Corporate Governance, **Monthly Journal of the Korea Stock Exchange** 36-77 (November 2001)
 - Translation: Does Venture Capital Require an Active Stock Market? (Korean Translation [with Introduction] of Bernard S. Black & Ronald J. Gilson, 11-4 **Journal of Applied Corporate Finance** 36 [Winter 1999]), 2-2 **Korean Journal of Securities Law** 349-379 (2001)
 - The Regulation of Mutual Fund Industry in Korea: Developments by Global Standards, 23 **Korean Civil Law Case Studies** 757-814 (Korean Civil Law Case Studies Association, 2001)
 - Global Convergence in Corporate Governance and International Law, 1 **Korean Journal of Securities Law** 261 – 280 (2000)
 - Corporate Director’s Fiduciary Duties under the New Commercial Code, 270 **Korean Bar Association Journal** 43 – 52 (1999) (w/ H. C. Kang)
 - Corporate Takeovers and Defensive Tactics, 89 **Korea Securities Dealers Association Journal** 8 – 31 (1996)
 - Enhancing the Role of Institutional Investors in Corporate Governance, 223 **Korean Bar Association Journal** 57 – 66 (1995)
 - Defensive Stock Repurchases, 32 **Quarterly Journal of the Korea Listed Companies Association** 130 – 146 (1995)
 - Jurisdiction of the Domestic Courts in International Litigation, Part 2, 465 **Korean Lawyers Association Journal** 43 - 64 (1995)
 - Jurisdiction of the Domestic Courts in International Litigation, Part 1, 464 **Korean Lawyers Association Journal** 48 - 67 (1995)
 - The Evolving Role of Corporate Counsel in the United States, 212 **Korean Bar Association Journal** 69 – 76 (1994)
 - Translation: A New Approach to Corporate Reorganizations (Korean Translation [with Introduction] of Lucian A. Bebchuk, 101 **Harvard Law Review** 775 [1988]), 2 **Korean Forum on International Trade and Business Law** 283 – 322 (1993)
 - The Legal Nature of Ecu and the Ecu Markets, 188 **Korean Bar Association Journal** 128 - 135 (1992)

- Transnational Mergers and the United States Law, 166 **Korean Bar Association Journal** 95 – 98 (1990)
- International Sports Law: An Introduction, 156 **Korean Bar Association Journal** 86 – 93 (1989)

Commentary in a Newspaper .

Separate List

Testimonies and Talks before the Korean National Assembly

- Chair of the International Conference: Toward Principles Based Regulation of the Capital Markets, November 3, 2016 (noted in **Maeil Business Newspaper**)
- Comments on the Draft Amendment to the Capital Markets Act on M&A Brokerage, September 7, 2016 (noted in **Chosun Biz, Money Today, Maeil Business Newspaper, Yonhap News, Korea Economic Daily TV, edaily**)
- Chair of the Roundtable Discussion: Financial Reform, November 5, 2015 (attended by Speaker of the National Assembly, Majority Leader, Chairman of the National Policy Committee, and other members of the National Assembly; noted in **Money Today, edaily, Yonhap News**)
- Comments on the Draft Amendments to the Capital Markets Act, March 13, 2012 (noted in **Seoul Economic Daily, edaily, Yonhap News**)
- Commercial Banking and Investment Banking, November 1, 2010 (noted in **Korea Economic Daily, Money Today, Maeil Business Newspaper**)
- Comments on the Draft Capital Markets Act, April 12, 2007 (noted in **edaily, Money Today, Korea Economic Daily, Financial News**)
- Socio-Economic Implications of Takeovers and Defenses, May 3, 2006
- Comments on the Draft Amendments to the Securities and Exchange Act, November 8, 2001

Editorial Boards

- **Corporate Ownership and Control** (Virtus Interpress, Ukraine, 2017 – Present)
<http://www.virtusinterpress.org/Editorial-Board-and-External.27.html>
- **Journal of Financial Regulation** (Oxford University Press, 2012 - Present)
http://www.oxfordjournals.org/our_journals/jfr/editorial_board.html
- **Journal of Korean Law** (Seoul National University School of Law, 2006 – 2016; Editor-in-Chief, 2007 - 2009)
- **Korean Bar Association Journal** (2009 - 2013)
- **Korea Association for Chief Financial Officers Quarterly Journal** (2005 - 2012)

- **Korean Journal of Securities Law** (Korean Securities Law Association, 1999 - 2008).

The NEXT Corporate Governance Conferences (Organizer and Chair) 2011 – Present

http://link.thebell.co.kr/thenext/global.asp?ev_theme=thenext

- 2020 - **The Future of the Korean Chaebol Corporate Governance**, Virtual Conference out of The Plaza Seoul, Korea, September 25, 2020 (Local speakers only due to COVID-19): Keynote Speech delivered “The Present and Future of the Corporate Governance of Large Korean Companies”
- 2019 – **Current Issues of Corporate Governance: Active Ownership, Corruption, Related-Party Transactions**, Millennium Seoul Hilton, Korea, September 20, 2019 (Speakers from NYU, Michigan, Berkeley, Munich, Newcastle, London Business, Hong Kong, IESEG Paris, and CUHK)
- 2018 – **Global and Regional Trends in Corporate Governance**, Millennium Seoul Hilton, Korea, September 20, 2018 (Speakers from UBC, NUS, Durham, Michigan, AUM, Peking, and CUHK)
- 2017 – **A New Look at the Role of Institutional Investors in Corporate Governance**, Millennium Seoul Hilton, Korea, September 22, 2017 (Speakers from Toronto, NYU, Michigan, Tilburg, Hamburg, UCLA, and UHK)
- 2016 – **International Investment and Dispute Resolution**, Millennium Seoul Hilton, Korea, September 23, 2016 (Speakers from Michigan, Max Planck Institute Luxembourg, UHK, Hong Kong International Arbitration Center, and SNU)
- 2015 - **The Global State of Regulation of Business and Finance**, Millennium Seoul Hilton, Korea, September 18, 2015 (Speakers from Michigan, Northwestern, Tilburg, NUS, CUHK, Korea University, and SNU)
- 2014 - **The New Trend in Corporate Finance**, Millennium Seoul Hilton, Korea, October 26, 2014 (Speakers from Michigan, NYU, Oxford, York, Goldman Sachs, SNU, and Korea Financial Services Commission)
- 2013 - **The Global State of Corporate Governance**, Millennium Seoul Hilton, Korea, October 25, 2013 (Speakers from Michigan, NUS, Peking, Korea University, SNU, Standard Chartered, and KIF)
- 2012 - **The Good Capital: Toward a New Definition of Value in the Capital Markets**, The Westin Chosun Seoul, Korea, September 21, 2012 (Speakers from IDC Herzliya, Tokyo, Tilburg, and UNPRI)
- 2011 - **A New Era of Business and Finance in East Asia**, Lotte Hotel Seoul, Korea, October 14, 2011 (Speakers from Michigan, Oxford, NUS, Tokyo, Peking, ING Group, Bank of Korea, and KAIST)

International Academic and Professional Conferences (Organizer)

- The Role of Culture and Tradition in Family Law Reforms – A **Journal of Korean Law** Conference with **Emory University School of Law**, Atlanta, January 30, 2009
- Law in the Information and Knowledge Society – Joint Academic Conference of Seoul National University College of Law and **University of Freiburg Faculty of Law**, Freiburg i.B., Germany, July 16 – 18, 2008
- Current Legal Problems in Taiwan and Korea: A Comparative View - Joint Academic Conference of Seoul National University College of Law and **National Taiwan University College of Law**, Seoul, Korea, October 11, 2007
- Legal Approach to Regional Cooperation in East Asia: 1st Annual Beseto Conference, Seoul, Korea, September 14, 2007, Seoul National University College of Law, **University of Tokyo Faculty of Law**, and **Peking Law School**
- International Conference on the Conflicts of Interest in Investment Banking Institutions, **Korea Financial Investment Association**, Seoul, Korea, November 2, 2006 (Speakers from Michigan and LSE)
- Takeovers and Anti-raid Strategy: Lessons from the United States and Japan, May 23 and 24, 2006, joint seminar for select Korean companies by **Woo Yun Kang Jeong & Han and Skadden Arps Slate Meagher & Flom**
- **Stanford Law School** International Conference on Cross-Listing of Emerging Market Companies on Foreign Exchanges, November 22 – 23, 2002 (w/ Bernard S. Black) (Speakers from Stanford, Columbia, SNU, Ohio State, Berkeley, NYSE, Vanderbilt, and Indiana)
- **Korea Stock Exchange** International Conference on Self-Regulatory Institutions in the Korean Securities Markets, Korea Stock Exchange, December 7 - 8, 2001 (Speakers from Stanford, Michigan and Cambridge)
- The Korea-Japan International Conference on the New Financial Instruments, September 21, 2001, Co-sponsored by the **Korea Securities Dealers Association and the Korea Listed Companies Association**

International Academic and Professional Conferences (Moderator)

- **Toward Principles Based Regulation of the Capital Markets**, Korean National Assembly, November 3, 2016 (Organized by Economic Policy Forum of the Korean National Assembly and Korea Financial Investment Association)
- **Asia Securities Forum 2015: Overseas Expansion of Asian Securities Companies**, The Westin Chosun Seoul, Korea, September 22, 2015 (Organized by Korea Financial Investment

Association)

- **The Korean Stock Market at a Crossroads**, The Westin Chosun Seoul, Korea, September 25, 2014 (Organized by Korea Capital Market Institute)
- **Seoul Securities Financing Conference**: Korean Securities Lending & Repo, Conrad Hotel, Seoul, Korea, April 10, 2013 (Organized by Korea Securities Depository)

Talks and Speeches (International)

- What Next for Asia's Financial Architecture?: A Korean Perspective, Guest Speech in International Finance class (Professor Michael Barr), **University of Michigan Law School**, January 25, 2017
- Panelist at **Journal of Financial Regulation** 2016 Annual Conference: **Integration and Interconnectedness in Global Finance**, June 24-25, 2016, Hong Kong, jointly organized by University of Oxford, University of Hong Kong and National University of Singapore
- Financial Regulation and Supervision in Corporate Governance of Banks, Talk at **MT – The Bell Global Conference The Next: The Global State of Regulation of Business and Finance**, Millennium Seoul Hilton, Korea, September 18, 2015
- Private Enforcement of Company Law and Securities Regulation in Korea, Talk at the **University of Michigan Law School** and **Chinese University of Hong Kong Faculty of Law Conference** on Private Enforcement of Company Law and Securities Regulation: China and the World, December 13 & 14, 2014, Hong Kong
- Favoritism and Corporate Law: The Corporate Opportunity Doctrine, Talk at **MT – The Bell Global Conference The Next: The Global State of Corporate Governance**, Millennium Seoul Hilton, Korea, October 25, 2013
- Shareholder and Hedge Fund Activism, Patient Capital, and 'Good Capital,' Keynote Speech at **MT – The Bell Global Conference The Next: The Good Capital: Toward a New Definition of Value in the Capital Markets**, The Westin Chosun Seoul, Korea, September 21, 2012
- How to Improve Corporate Governance and Transparency, Talk at the Conference Globalization of the Korean Capital Markets, **Stanford University Walter H. Shorenstein Asia-Pacific Research Center**, April 20, 2012
- Corporate Governance Reform in Korea 1997 – 2009: An Epic Journey, Talk co-organized and co-sponsored by **Michigan University Center for Korean Studies** and **Center for International and Comparative Law** and **Asia Law Society of Michigan Law School**, February 18, 2010
- The Case for Market for Corporate Control in Korea, Talk at Michigan Law School Asia Law Society's 2009 Symposium: Convergence or Cataclysm – Corporate Governance and Market Development in Asia, **University of Michigan Law School**, March 21, 2009

- SNU Law Goes Global, talk before students and professors of **Kazakh University of the Humanities and Law**, April 24, 2008
- The Abusive Investor, Discussion at the **10th Financial Crisis Anniversary High-Level Experts Roundtable** organized by Korean National Strategy Institute and JoongAng Ilbo, November 21, 2007
- Ethics and Accountability for Institutional Investors, Discussion at the 2007 International Symposium on Corporate Governance Reform in Korea organized by **Korea Exchange** and **Korea Corporate Governance Service**, October 10, 2007
- The Emerging Market for Corporate Control in Korea, Presentation at 1st Annual **Peking Law School - University of Tokyo School of Law - Seoul National University College of Law Conference**, September 14, 2007
- SNU Law Goes Global, Lunch talk before some 20 faculty members of **Tel Aviv University Faculty of Law**, August 15, 2007
- Liabilities of Outside Directors, Talk at the International Conference on the Role and Responsibility of Outside Directors in Building an Excellent Company, sponsored by the **Korea Listed Companies Association**, December 14, 2006
- Corporate Governance of Korean Firms, Lecture given to the government officials of the **People's Democratic Republic of Algeria**, KDI School of Public Policy and Management, November 22, 2006
- Unified Capital Market Law and Conflict-of-Interests within Investment Banks, Speech at international conference sponsored by **Korea Securities Dealers Association**, November 2, 2006
- Corporate Governance and the Capital Markets, Lecture given to the government officials of the **People's Democratic Republic of Algeria**, KDI School of Public Policy and Management, November 20, 2006
- A Tale of Two Companies: The Emerging Market for Corporate Control in Korea, East Asia Corporate Governance Seminar, **University of Tokyo School of Law**, September 30, 2006
- Corporate Governance and Enforcement, Discussion at Workshop 7, presented by **the KDI School of Public Policy and Management and the World Bank**, of the 6th Global Forum on Reinventing Government sponsored by the United Nations and the government of the Republic of Korea, May 24 – 27, 2005
- Corporate Governance and the Role of Corporate Law, Panelist at International Conference co-hosted by **Asian Corporate Governance Institute and Global Corporate Governance Forum, World Bank**, May 19-20, 2005
- The Business Judgment Rule and Directors' Liabilities, Panelist Comment at the 1st **Duke University Business School – Seoul National University Business School Symposium: Corporate Governance Reform**, Seoul, November 13, 2003
- Cross-Listing of Korean Companies on Foreign Exchanges: Law and Policy, Presentation at the

Stanford Law School International Conference on Cross-listing of Emerging Market Companies on Foreign Exchanges, November 23, 2002

- Success Strategies as an LL.M. Student, Lunch talk given to the graduate students of **Stanford Law School**, August 28, 2002
- Corporate Governance, Stakeholder Capitalism, Social Responsibility, and the Culture: A Korean Perspective, Discussion at the first **IICGA Conference at George Washington University**, June 1-2, 2001
- Globalization and Law for the 21st Century, Panelist Discussion at the International Symposium Sponsored by **Seoul National University College of Law**, Oct. 10, 1997.

Invited Lectures

- Seoul National University in Creative California Program
- Seoul National University International Summer Institute
- Bank of Korea
- Inha University
- Korea Aerospace University
- Seoul National University Department of Mathematical Sciences
- Ewha Womans University College of Business Administration
- Yonsei University School of Business
- Yonsei University Department of Economics
- Hankuk University of Foreign Studies
- Sogang University Department of Business Administration

Talks and Speeches

- Korean Financial Services Firms Roundtable (CEOs)
- Conference of Korean Bank Presidents and CEOs of Financial Firms with Members of Korean Parliament
- Hyundai Motor Company (BOD)
- Samsung C&T Corporation (Governance Committee)
- Samsung Securities (Executives)
- Macquarie Korea Infrastructure Fund Corporation (Executives)
- Woori Financial Group (BOD)
- Korea Corporate Governance Service
- Korea Engineers Club
- Asan Foundation

- Seoul National University Graduate School of Public Administration
- Korea Institute of Finance
- Korea Development Bank (Executives and Managers)
- Korea Financial Investment Association
- Seoul National University Department of Economics
- Korea Fixed Income Research Institute
- Korea Institute of Public Finance
- Korean Finance Association
- Civil Law Practice Study Association of the Korean Supreme Court
- Korean Legal Center
- Korean Law and Economics Association
- Korean Association of Comparative Private Law
- Korea Development Institute
- Korea Securities Research Institute
- Korean Environmental Law Association
- Seoul National University Institute of Management Research
- Korean Securities Law Association
- Korean Association of Bankruptcy Law
- Presidential Committee on Judicial Reform
- Korean Academic Society of Business Administration
- Ahnmin Institution
- Korean Civil Law Case Study Association
- Korea Institute for Industrial Economics and Trade
- Korea International Trade Law Association
- Financial Research Center of Korea
- Knowledge Society Research Association
- Daishin Economic Research Institute

Seoul National University Executive Education

Business School

- Advanced Program for Managers of State-Owned Enterprises
- Executive MBA Program
- Global Investment Banking Academy
- CFO Academy
- Advanced Banking Program

- Korea Development Bank MBA Program
- KT Corporation
- STX Group MBA Program

School of Law

- Advanced Leadership Program
- Advanced Corporate and Financial Laws Program
- Human Rights Program

College of Engineering

- Advanced Industrial Policy Program

College of Human Ecology

- Advanced Fashion Business Program

Graduate School of Public Administration

- Advanced Center for Administrative Development

Yonsei University Business School Executive Education

- Samsung Securities
- KB Financial Group
- Korea Electric Power Corporation

Korean In-House Executive Education (2006 – Present)

- Mirae Asset Financial Group
- SK Holdings
- Hynix Semiconductor
- Korean Institute of Directors
- Korean Association of Compliance Officers
- Chartered Financial Analyst Exam Prep Program
- Shinhan Bank

- STX Group
- SK Telecom
- Korea Listed Companies Association
- SK Group
- LG Group
- CJ Group
- Korea Chamber of Commerce and Industry
- Federation of Korean Industries
- Kumho Asiana Group
- Hanwha Group
- PriceWaterhouseCoopers Samil Accounting Corporation
- Hyundai Capital / Hyundai Card
- Lotte Group
- Hana Financial Group
- Korean Bar Association
- Seoul Bar Association

Fellowships and Awards

- Senior Research Fellow, New York University Stern School of Business Pollack Center for Law & Business, 2018 - 2019
- Book Award of the Publication Industry Promotion Agency of Korea, 2017
- Book Award of the Korean National Academy of Sciences, 2015
- Seoul National University School of Law Research Excellence Award, 2011
- Book Award of the Korean National Academy of Sciences, 2010
- Seoul National University School of Law Research Excellence Award, 2009
- Book Award of the Korean Ministry of Culture, Sports and Tourism, 2008
- Faculty Fellow, Seoul National University Institute for Research in Finance and Economics, 2008 – Present
- Faculty Fellow, Seoul National University Law Research Institute, 2007 – Present
- Faculty Fellow, Seoul National University Asia-Pacific Law Research Institute, 2014 - Present
- Book Award of the Korean National Academy of Sciences, 2005
- Fellow, Korea Corporate Governance Service, 2005 – 2009
- Fellow, George Washington University International Institute for Corporate Governance and Accountability, 2001 - 2003
- James Nelson Raymond Fellow, Northwestern University School of Law, 1992
- Adenauer Scholar, Konrad Adenauer Foundation, Germany, 1987 - 1989

- Free State of Bavaria Scholar, Germany, 1987

Representative Projects in Legal Consulting *Expert Legal Opinions and Testimonies in Corporate Litigation and International Arbitration

- Brief for Seoul High Court as *Amicus Curiae* in Support of Neither Party: **The Republic of Korea v. Jae-yong Lee** (Head of Samsung Group) (2020)
- Expert opinion on the fiduciary duties and legal liabilities of directors (re Optimus private offering fraud) to the board of directors of **NH Investment & Securities** (2020)
- **Seoul Heroes** v. Shareholder (Seoul High Court, 2017) (w/ Kim & Chang)
- LSF-KEB Holdings v. **Korea Exchange Bank** (International Chamber of Commerce, 2014) (w/ Bae Kim & Lee)
- **Shinhan Bank** v. Shareholders of Shinho Paper (Seoul High Court, 2013) (w/ Kim & Chang)
- **Olympus Capital** v. Lone Star (International Chamber of Commerce, 2009) (w/ Bae Kim & Lee)
- Dong-A Pharmaceutical v. **Stryker** (International Chamber of Commerce, 2009) (w/ Bae Kim & Lee)

M&A, Corporate Governance and Finance Law Practice, 1994 - 2006

Representative Projects in Law Practice *150 to 300 or more billable hours worked.

- **Goldman Sachs**, financial advisor to KT&G Corporation, Korea, in its takeover defense against Carl Icahn (2006)
- **Hyundai Group**, Korea, in its takeover defense, restructuring and strategic alliance with foreign investors, in co-operation with Citigroup (2004 - 2006) * *The largest corporate control contest in Korean history.*
- **Samsung Electronics**, General anti-raid strategy (2005)
- **Goldman Sachs**, financial advisor to the consortium of major shareholders of KorAm Bank, in a sale of GDRs of the bank to and tender offer by Citigroup (2003 – 2004)
- **Shinhan Financial Group** in its compliance with Basle II in co-operation with Samil PricewaterhouseCoopers (2004)
- **Eight Largest Korean Accounting Firms** (Affiliates of PricewaterhouseCoopers, Ernst & Young, BDO, Deloitte & Touche, Deloitte Touche Tohmatsu, Grant Thornton and KPMG) in their compliance with the Sarbanes-Oxley Act (2003 - 2004)
- **The Carlyle Group** in its alliance with LG Group in the proxy contest for Hanaro Telecom, Korea, in co-operation with Citigroup (2003) * *The largest proxy contest in the Korean capital market.*

- **Lehman Brothers** in its joint venture project with Woori Finance Holdings Company, Korea (USD 1 Billion) (2002) * *FinanceAsia.com's Best Distressed Debt Deal of the Year 2002 and the largest NPL deal in Korean history.*
- **Union Steel**, Korea, in its takeover defense and self-tender offer (2001) * *The first ever self-tender offer launched in the Korean capital market.*
- **SK Group**, Korea, in its sale of shares in SK Telecom to a foreign strategic alliance partner (USD 2.9 Billion), in co-operation with Goldman Sachs (2000-2001)
- **Hynix Semiconductor** (Hyundai Electronics), Korea, in its restructuring and divestiture, in co-operation with SalomonSmithBarney (2000-2001), and in its joint venture with Morgan Stanley (2001)
- **GE Asset Management** in its compliance with local financial regulations (2000 – 2003)
- **SK Corporation**, Korea, Rule 144A and Regulation S Exchangeable Bonds USD 1.68 Billion, in co-operation with Goldman Sachs and Credit Suisse First Boston (2001-2002) * *FinanceAsia.com's Most Innovative Equity Deal of the Year 2002; International Financial Law Review Asian Legal Deals of the Year 2002 – Debt and Equity-Linked Deal of the Year.*
- **SK Telecom** American Depositary Shares USD 1.68 Billion, in co-operation with Goldman Sachs and Credit Suisse First Boston (2001-2002)
- A leading CATV company in Korea in its joint venture with Chase Manhattan and American International Group (2000)
- Daewoo Securities' Restructured Corporate Loan Portfolio Sale, representing **GE Capital** (2000)
- **SK Telecom**, Korea, in its acquisition of Shinsegi Telecomm, Korea (USD 3 Billion) (1999-2000) * *The largest M&A deal in Korean history.*
- Korea Merchant Banking Corporation, Korea v. **Hyundai Investment Trust Management**, Korea (1999)
- Daewoo Securities (Europe), UK v. **Hyundai Investment Trust Management**, Korea (1999)
- Korea Asset Management Corporation's Restructured Corporate Loan Portfolio Sales (followed by an ABS Transaction), representing **Goldman Sachs** (1998 – 1999)
- Morgan Guarantee Trust, USA v. **SK Securities**, Korea (1998-1999)
- **Hyundai Investment Trust Management's** offshore and Russian investments in fixed-income securities (1998-1999)
- **CKD Corporation**, Korea, in its acquisition and restructuring of the Parekh Chemicals Group, Switzerland, Italy and Netherlands (1994-1998).
- **Parekh Chemicals Group** in its refinancing with Credit Suisse, Zurich, Switzerland (1995-1997)
- Licensing-out of **CKD Corporation's** Cipol-N to American Home Products, USA, and Novartis, Switzerland (1996-1998)
- Bayer, Germany v. **Parekh Chemicals Group** (1994-1998)
- Hoffmann-La Roche, Switzerland v. **CKD Italia**, Italy (1994-1998)

Commentary in a Newspaper

Corporate Governance and Finance

- The 1000 Year Old Family Business in Italy, **thebell**, February 1, 2021
- Hilton Hotels and Hilton Family, **News 1**, January 4, 2021
- ESG and Shareholderism Revisited, **Money Today**, December 8, 2020
- Outside Director Back-Channeling: Facebook, **thebell**, November, 27, 2020
- Director Independence: Facebook, **News 1**, November 18, 2020
- Chaebol Reform Bills Can Wait, **News 1**, October 23, 2020
- Double Derivative Lawsuit in the New KCC Bill, **Money Today**, October 13, 2020
- The New KCC Bill Fails the Fairness Standard, **Money Today**, October 7, 2020
- Support for Affiliated Firms in a Corporate Group, **thebell**, October 5, 2020
- Minority Shareholder Protection in the Era of Stewardship Code , **News 1**, October 4, 2020
- Thinking about Corporate Purpose, **thebell**, September 7, 2020
- The Historic 1959 BMW Shareholders' Meeting, **thebell**, July 10, 2020
- Shareholders' Deferential Business Judgment, **thebell**, June 19, 2020
- Tenure Voting and Inheritance, **News 1**, June 15, 2020
- The New Company Men after COVID-19, **News 1**, June 1, 2020
- Hertz's Filing for Bankruptcy Protection, **thebell**, May 28, 2020
- Chapter 11 for JCPenney, **thebell**, May 18, 2020
- Say-on-Pay and Executive Compensation under COVID-19, **News 1**, May 15, 2020
- The Contested Virtual Shareholders Meeting, **thebell**, May 11, 2020
- The New State Capitalism after COVID-19, **News 1**, April 14, 2020
- The Case for Virtual Annual Meetings, **Money Today**, April 3, 2020
- Succession at Google, **thebell**, March 19, 2020
- Peter Drucker's Advice for Family Businesses, **News 1**, March 9, 2020
- Why Duality?, **News 1**, March 2, 2020
- Board Meeting Attendance by Directors, **News 1**, February 27, 2020
- Outside Director Independence: Uber Case, **News 1**, February 20, 2020
- Outside Director Tenure Regulation is not Urgent, **thebell**, January 17, 2020
- The Noble Alliance of LG and GS, **Money Today**, January 3, 2020
- Too Much Politics in Corporate Governance Discussions, **News 1**, December 10, 2019
- Does the Capital Have Character and Quality?, **thebell**, November 4, 2019
- Leo Strine on Outside Director Independence, **News 1**, October 15, 2019
- Limiting Reelction of Outside Directors, **thebell**, September 30, 2019

- Dual-Class Shares in Germany, **thebell**, September 23, 2019
- Who Owns Huawei?, **News 1**, September 23, 2019
- The Case for Favoring Long-Term Shareholders through Time-Phased Voting, **thebell**, September 16, 2019
- Accounting Scandal at Olympus Corporation, **thebell**, September 9, 2019
- Almost Social Enterprise Robert Bosch, **Seoul National University Daehak Shinmoon**, September 2, 2019
- Succession Saga at Viacom, **thebell**, August 26, 2019
- Who will succeed Warren Buffett at Berkshire Hathaway? **thebell**, August 19, 2019
- Lotte Group's Corporate Identity, **Money Today**, August 9, 2019
- The Curious Case of L'Oreal's Corporate Governance, **thebell**, July 29, 2019
- The 100th Anniversary of Dodge v. Ford Motor Company, **Money Today**, July 12, 2019
- The World's Largest Private Company Cargill, **News 1**, July 8, 2019
- Walton Family's Walmart, **thebell**, July 8, 2019
- Family Feuds in Gucci and Koch, **News 1**, June 24, 2019
- Preparing CEO Succession Plans, **Money Today**, June 21, 2019
- Separation of Chairman of the Board and CEO, **thebell**, June 11, 2019
- Families and Managers in Corporate Dynasties, **News 1**, June 3, 2019
- A Turbulent History of Hewlett-Packard's Board, **News 1**, May 9, 2019
- Aging Boards and Board Refreshment, **Money Today**, April 22, 2019
- Korean Board 2.0, **News 1**, April 8, 2019
- Corporate Governance Black Hole, **thebell**, April 4, 2019
- Papa John's International's Delaware Litigation, **thebell**, April 1, 2019
- Who is in Charge of Korean Air Now?, **News 1**, March 28, 2019
- University President Outside Director, **Money Today**, March 19, 2019
- Dassler Brothers' Puma and Adidas, **thebell**, March 11, 2019
- Artificial Intelligence Outside Director, **News 1**, February 26, 2019
- M&A as the Tool for Corporate Governance Innovation, **Money Today**, January 25, 2019
- Institutionalization of the Shareholder Activism, **News 1**, January 3, 2019
- Howard Schultz's New Venture, **News 1**, November 28, 2018
- If Korean Chaebol were scandalous.. (Comment), **South China Morning Post**, June 16, 2018
- The Fifth Generation at the New York Times, **News 1**, May 27, 2018
- Succession without Favoritism, **Money Today**, May 25, 2018
- Korean Air and Social Value, **Money Today**, April 24, 2018
- Owner Gapjil in Korean Air, **News 1**, April 17, 2018
- Corporate Governance: A Sea Change in Korea (Interview), **Money Today**, March 23, 2018
- BlackRock CEO's Annual Letter to Companies, **Money Today**, March 8, 2018

- Overseeing Cyber Risk and Sexual Misconduct Risk, **News 1**, March 5, 2018
- Female Representation on Corporate Boards, **Money Today**, July 11, 2017
- What are the Real Sources of Corporate Control?, **Maeil Business Newspaper**, April 11, 2017
- The Evolution of Corporate Law and a New Commercial Code, **Money Today**, July 14, 2016
- Shareholders' Meeting Should Be More Efficient and Transparent, **IR Focus**, April 1, 2016
- Do We Still Need the Physical Shareholders' Meeting?, **Money Today**, March 3, 2016
- Legitimate Discrimination Against the Capture Shareholders, **Money Today**, July 29, 2015
- Corporations and Constitutional Law, **Money Today**, June 24, 2015
- Economic Democracy and Corporate Crime, **Maeil Business Newspaper**, November 5, 2012
- Outside Directors Call the Shots?, **Korea JoongAng Daily**, September 18, 2012
- Good Capital, Bad Capital, **Money Today**, September 18, 2012
- Control Right and Cash Flow Right Revisited, **Money Today**, July 18, 2012
- Regulating the Roundabouts, **Money Today**, April 20, 2012
- The Case for the Electronic Voting, **Korea JoongAng Daily**, March 23, 2012
- Is the Compliance with the New Commercial Code Unethical?, **Korea Economic Daily**, March 21, 2012
- How Come We Ended up Enacting a Special Law on Bank Corporate Governance?, **Munhwa Ilbo**, February 22, 2012
- Outside Director Independence, **Money Today**, May 31, 2007
- It is up to Individual Directors, **Naeil Shinmoon**, January 31, 2006
- Regulating the Large Corporate Groups, **Munhwa Ilbo**, November 25, 2005
- Moderately Bad Person Standard in the Capital Markets, **Seoul Daily Newspaper**, November 16, 2005
- Comply-or-Explain, **Seoul Daily Newspaper**, November 3, 2005
- The Costs of Talent: Executive Compensation and the Disney Verdict, **Herald Business**, November 1, 2005
- Path Dependency, Ownership Structure, and Privatization, **Seoul Daily Newspaper**, October 17, 2005
- Good Organizations Have Strong Memories: Volkswagen, **Seoul Daily Newspaper**, September 16, 2005
- Investor Protection and the FIFA Ranking, **Seoul Daily Newspaper**, June 13, 2005
- The Case for Increasing Director Power?, **Seoul Daily Newspaper**, April 19, 2005
- Studies on Corporate Governance and Finance for Start-Ups Needed, **Seoul Daily Newspaper**, March 22, 2005
- On Corporate Social Responsibility, **Korea Economic Daily**, September 6, 2004
- Dual-Class Common Shares, **Korea Economic Daily**, June 1, 2004
- Do We Need Holding Companies?, **Korea Economic Daily**, December 20, 2003

- A Korean Version of the Caremark Is Needed, **Korea Economic Daily**, November 5, 2003
- Control and Cash-Flow Rights: Are These Concepts Useful to the Government?, **Korea Economic Daily**, October 3, 2003

Capital Markets and Financial Industry

- Financial Sanctions Compliance, **thebell**, September 23, 2020
- Capital Markets in the Time of COVID-19, **thebell**, April 13, 2020
- Bank of America's Acquisition of Merrill Lynch, **thebell**, April 22, 2019
- History of M&As in the UK Banking Industry, **thebell**, April 15, 2019
- Minority Shareholders in the Macquarie Infra Shareholders' Meeting, **News 1**, March 25, 2019
- The Biggest European Bank in the 19th Century, **thebell**, February 18, 2019
- The Oldest Bank in the World, **thebell**, January 28, 2019
- Why did Manchester United Choose New York?, **News 1**, April 15, 2018
- Conditions for the New Administration's Debt Relief Program, **Korea Economic Daily**, June 14, 2017
- Troubled Korea Development Bank: What Went Wrong?, **Maeil Business Newspaper**, August 24, 2016
- Visioning a Korean Goldman Sachs, **Korea Economic Daily**, January 14, 2014
- Terms of Office, Too Short, Too Long, **Money Today**, September 24, 2013
- A New Approach to the Bank Manager Duties and Liabilities, **Korea Economic Daily**, June 19, 2013
- The New Capital Markets Law: What is Next?, **Korea Economic Daily**, May 7, 2013
- Time to Pass the Bill for a New Capital Market Act, **Dong-A Ilbo**, January 21, 2013
- Busan Deserves the East Asian Shipping Exchange, **Money Today**, November 16, 2012
- Troubled Savings Banks, **Money Today**, September 30, 2011
- Commercial Arbitration, **The Lawtimes**, June 21, 2011
- The Armed Conflict in Yeonpyungdo and Financial Services Industry, **Money Today**, December 28, 2010
- Investment Bank: Identity, **The Bell Insight**, July 31, 2010.
- Universal Banking and the Future of Investment Banking, **Money Today**, June 1, 2010
- Securities Class Action Activated, **Maeil Business Economy** No. 1505, May 13, 2009
- The New Era of Investment Banking in Korea and the Role of Self-Regulation, **Maeil Business Newspaper**, February 3, 2009
- The End of History for Investment Banking?, **Munhwa Ilbo**, September 23, 2008
- Regulating the Unfair Trading Practices and Investor Education, **Maeil Business Newspaper**, October 10, 2007

- National Pension Fund Organization and Fund Performance, **Money Today**, May 3, 2007
- Securities Class Action and the New Accounting Principles, **FKI Economy Opinion**, September 27, 2005
- How to Reshape the Stock Exchange?, **Seoul Daily Newspaper**, May 17, 2005
- Causation in the Securities Fraud Litigation, **FKI Economy Opinion**, April 28, 2005
- Lessons from the U.S. Class Action Fairness Act, **Korea Economic Daily**, February 21, 2005
- Shareholder Obligations: Toward a Stronger 5% Rule, **Dong-A Ilbo**, December 20, 2004
- Securities Fraud Class Action Law and Frivolous Litigation, **Korea Economic Daily**, November 23, 2004

Healthcare Industry and Hospital Governance

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