

October 2019

**Hwa-Jin Kim**

B.S. Math (SNU), Dr. Jur. (Munich), LL.M. (Harvard)

- Professor of Law, Seoul National University School of Law
- Senator, Seoul National University Faculty Senate
- Member, Seoul National University Asset Management Committee
- William W. Cook Global Law Professor, University of Michigan Law School
- Founding Chair, Seoul Corporate Governance Forum <http://www.scgf.or.kr>
- Outside Director & Chair of the Audit Committee, Hyundai Heavy Industries Holdings
- Independent Director, Macquarie Korea Infrastructure Fund Corporation
- Chair, Korea Corporate Governance Service Proxy Advisory Committee
- Founding Executive Director, The Bell Finance Academy
- Founding Chair, The NEXT Corporate Governance Conference
  
- Visiting Professor, University of Hong Kong Faculty of Law, 2020
- Senior Professional Fellow, New York University Stern School of Business, 2018 - 2019
- Global Professor of Law in Shanghai, New York University School of Law, 2018
- Public Director, Korea Financial Investment Association, 2011 - 2017
- Chair, Korea Securities Depository Derivative Services Committee, 2010 – 2015
- Outside Director, STX Corporation, 2007 - 2013
- Visiting Professor, Tel Aviv University Faculty of Law, 2009
- Editor-in-Chief, Journal of Korean Law, 2007 – 2009
- Vice Dean, Seoul National University School of Law, 2007 - 2008
- Member, Korean Ministry of Justice Commercial Code Committee, 2007 - 2008
- Lecturer in Law, Stanford University Law School, 2002
- Lecturer, Korean Supreme Court Judicial Research and Training Institute, 1997 - 1999
- M&A, Corporate Governance and Finance Law Practice, 1994 - 2006
- Three-Time Winner of *the Korean National Academy of Sciences Book Award*
- Two-Time Winner of *the Korean Ministry of Culture, Sports and Tourism Book Award*
- Published in Oxford, Cambridge, Columbia, Michigan, Berkeley, Vienna, and Moscow

## **Academic Positions**

- **Seoul National University School of Law**
  - Professor of Law, 2011 – Present
  - Associate Professor of Law, 2006 – 2011
  - Vice Dean for International Affairs, 2007 – 2008
  - Committees: Faculty Evaluation Committee; Graduate Program Committee; Advanced Leadership Program Committee, JD Program Admissions Committee
  - Editor-in-Chief, **Journal of Korean Law**, 2007 – 2009
  - Faculty Director, Executive Education Program on M&A, 2006 – 2008  
[http://law.snu.ac.kr/page/professor.php?wr\\_id=39](http://law.snu.ac.kr/page/professor.php?wr_id=39)
- **Seoul National University**
  - Senator, University Faculty Senate, 2018 – 2020
  - Member, University Asset Management Committee, 2019 - 2021
  - Member, University Press Planning Committee, 2016 – Present
  - Member, University Technology Holdings Committee, 2007 - 2008
- **University of Michigan Law School**
  - William W. Cook Global Law Professor, 2017 – 2022
  - William W. Cook Global Law Professor, 2011 – 2016  
<https://www.law.umich.edu/FacultyBio/Pages/Category.aspx?category=4>
- **University of Hong Kong Faculty of Law**
  - Visiting Professor, 2020
- **New York University School of Law**
  - Global Professor of Law in Shanghai, 2018  
<https://its.law.nyu.edu/courses/description.cfm?id=22048>
- **New York University Stern School of Business**
  - Senior Professional Fellow, Pollack Center for Law & Business, 2018 - 2019  
<http://www.law.nyu.edu/centers/pollackcenterlawbusiness/researchfellowships/professional>
- **Radzyner School of Law IDC Herzliya**
  - Visiting Professor of Law, 2011
- **Tel Aviv University Faculty of Law**
  - Visiting Professor of Law, 2009
- **Stanford University School of Law**
  - Lecturer in Law, 2002
  - Co-organizer, Stanford Cross-Listing Conference, 2002
  - Advisor, Stanford Program in International Legal Studies, 2003
- **Korea University Business School**

- Adjunct Professor by University President's Special Appointment, 2005 – 2006
- **Seoul National University Business School**
  - Adjunct Professor, 2002 – 2014, 2018 - 2019
- **Korean Supreme Court Judicial Research and Training Institute**
  - Lecturer, 1997 – 1999
- **Ludwig-Maximilians-University Munich**
  - Research Assistant, Institute of International Law, 1987 - 1989

### Courses

- **International Corporate Governance** (in English)
  - SNU Law 2010, 2012, 2014, 2016, 2018, 2019, 2020
  - Michigan Law 2010, 2011, 2013, 2014, 2017, 2018, 2019, 2020
  - Hong Kong Law 2020
  - Tel Aviv Law 2009
  - Stanford Law 2002
- **Investment Banking** (in English)
  - SNU Law 2011, 2014, 2016, 2019, 2020
  - Michigan Law 2012, 2013, 2015, 2016, 2020
  - IDC Herzliya 2011
- **International Capital Market and M&A Transactions** (in English)
  - NYU Law Shanghai 2018
  - <http://its.law.nyu.edu/courses/description.cfm?id=22048>
- **Corporate Law** (in Korean)
  - SNU Law 2007, 2008, 2009, 2010, 2011, 2012, 2013, 2014, 2015, 2017
- **Corporate Governance** (in Korean)
  - SNU Law (Graduate) 2007, 2008, 2009, 2011, 2012, 2014, 2015, 2017, 2018, 2019
- **Investment Banking** (in Korean)
  - SNU Law (Graduate) 2012, 2013, 2015, 2016, 2018, 2019, 2020
- **Commercial Code: General Principles** (in Korean)
  - SNU Law 2007, 2008, 2010
- **Commercial Paper** (in Korean)
  - SNU Law 2006
- **Law and Business** (in Korean)
  - SNU Business, 2010, 2018
- **Mergers and Acquisitions** (in Korean)
  - SNU Law, 2013

SNU Law (Graduate) 2008, 2010, 2013, 2016  
SNU Business (Executive MBA), 2010, 2011, 2012, 2013  
Korea Business (MBA), 2005, 2006

### **Other Areas of Research Interest**

- International Law and Foreign Relations and Policy
- Ocean Policy and the Law of the Sea

### **Outside Directorships**

- **Hyundai Heavy Industries Holdings** (the largest shipbuilder in the world)  
<http://www.hyundai-holdings.com/>
  - Outside Director (2<sup>nd</sup> Term), 2019 – 2022
  - Outside Director (1<sup>st</sup> Term), 2017 – 2019
  - Chair, Audit Committee, 2017 – Present
  - Member of the Nominating Committee, 2017 – Present
  - Chair, Related Party Transaction Committee, 2017 – Present
- **Macquarie Korea Infrastructure Fund Corporation**  
<https://www.macquarie.com/mgl/mkif/en/>
  - Independent Director, 2019 – 2022
- **Korea Financial Investment Association** (SRO est. by the Korean Capital Markets Act)  
<http://eng.kofia.or.kr/index.do>
  - Public Director (3<sup>rd</sup> Term), 2015 – 2017
  - Public Director (2<sup>nd</sup> Term), 2013 – 2015
  - Public Director (1<sup>st</sup> Term), 2011 – 2013
  - Member of the Nominating Committee, 2015
  - Member of the Compensation Committee, 2011 – 2017
  - Chair, Committee for the ERI Studies, 2011
  - Member of the Capital Market Development Roundtable (comprised of CEOs of investment banks), 2014 – 2016
- **STX Corporation**
  - Outside Director (3<sup>rd</sup> Term), 2012 – 2013
  - Outside Director (2<sup>nd</sup> Term), 2009 – 2012
  - Outside Director (1<sup>st</sup> Term), 2007 – 2009
  - Member of the Nominating Committee, 2007 – 2011
  - Member of the Audit Committee, 2009 – 2013

- Member of the Compensation Committee, 2011 – 2013 (Chair, 2013)

### **Other Professional Positions and Activities**

- **Seoul Corporate Governance Forum**
  - Founding Chair, 2016 – Present  
<http://www.scgf.or.kr>
- **The NEXT Corporate Governance Conference**
  - Founding Chair and Organizer, 2011 – Present
  - Invited 121 speakers from 15 countries (as of 2019)
- **University of Michigan LSA Nam Center for Korean Studies**
  - Core Faculty, 2011 – Present
- **Sikyung Institution Studies Roundtable** (sponsored by Korea Entrepreneurship Foundation)
  - Chair, 2016 – 2018
- **The Bell Finance Academy**
  - Founding Executive Director, 2010 – Present
  - Designed 20 executive education programs; supervised 516 classes taught by 165 academic and professional instructors
- **Korea Corporate Governance Service**
  - Chair, Proxy Advisory Committee, 2016 – 2020
  - Member, Corporate Governance Committee, 2016 – 2020
  - Fellow, 2005 -2009
- **Economic Policy Forum of the Korean National Assembly**
  - Member of the Advisory Board, 2016 – 2017
- **Samsung Securities**
  - Member of the Advisory Board, 2012 - 2016
- **Korea Securities Depository**
  - Chair, Derivative Services Committee, 2010 – 2015
- **Federation of Korean Industries**
  - Chair, Corporate Law Roundtable, 2015 – 2016
- **Korea Institute of Directors**
  - Member of the Steering Committee, 2006 – 2009
  - Director, BOD Staff Education Program, 2006 - 2009
- **KT (Korea Telecom) Corporation**
  - Chair, Corporate Governance Study Group, 2008
- **Korea Association for Chief Financial Officers, Inc.**
  - Member of the Board of Directors, 2003 – 2011

- Member of the Steering Committee, 2005 - 2012
- Member of the Founding Committee, 2001
- **Korean Securities Law Association, Inc.**
  - Member of the Board of Directors, 1998 – 2008
  - Executive Managing Director & Secretary, 1998 – 2001
- **Korean Law and Economics Association**
  - Auditor, 2007 – 2008
- **Member of the New York State Bar**, since 1994 (inactive)
  - Senior Counsel, Yulchon Law Offices, Seoul, 1997 – 2006
  - Counsel, Parekh Chemicals Group, Zurich, 1995 - 1997
- **Hanwha Group Korea**, 1990 – 1992
  - Senior Economist

### **Op-Ed Columnist**

- **Money Today**, 2007 - Present [http://www.mt.co.kr/column/opinion\\_list.html?code=column331](http://www.mt.co.kr/column/opinion_list.html?code=column331)
- **News 1**, 2018 – Present [http://news1.kr/columns/list/?kimhj\\_column](http://news1.kr/columns/list/?kimhj_column)
- **Korea Economic Daily**, 2003 - 2004, 2013 - 2014
- **Seoul Daily Newspaper**, 2005
- **Star News**, 2017 – 2018 <http://media.daum.net/entertain/news/series/592517>
- **Kyungbuk Domin Ilbo**, 2018 - Present
- **thebell**, 2018 - Present
- **Movist**, 2019 - Present
- Contributor to **Maeil Business Newspaper, Korea JoongAng Daily, Munhwa Ilbo, and Seoul National University Daehak Shinmoon**

### **Education**

- **Harvard University Law School**
  - LL.M., 1994
  - Thesis Supervisor and Advisor: Prof. Reinier Kraakman, Prof. Lucian Bebchuk
  - Research Assistant to Visiting Professor Anna Maria De Vita
- **Northwestern University School of Law**
  - LL.M. & Admitted to the S.J.D. Program, 1993
  - Dean's List
  - James Nelson Raymond Fellow
- **Ludwig-Maximilians-University Munich**

- Dr. Jur. *magna cum laude*, 1988; Konrad Adenauer Scholar
- Dissertation Committee: Prof. Bruno Simma (Chair), Prof. Rudolf Geiger; Doctoral Exam Committee: Prof. Wolfgang Fikentscher (Chair), Prof. Bruno Simma, Prof. Lothar Philipps
- **Seoul National University**
  - B.S. Mathematics, 1983

**Publications (in Korean, English, German, and Russian)**

35 Books

9 Edited Volumes

81 Academic and Professional Articles and Essays

329 Op-Ed Newspaper Essays

***In English***

- Private Enforcement of Company Law and Securities Regulation in Korea, in: **Enforcement of Corporate and Securities Law: China and the World** 444 – 453 (Robin Huang & Nicholas Howson eds., Cambridge University Press, 2017)
- Financial Regulation and Supervision in Corporate Governance of Banks, 41 **Journal of Corporation Law** 707 – 726 (2016) [https://papers.ssrn.com/sol3/papers.cfm?abstract\\_id=2655024](https://papers.ssrn.com/sol3/papers.cfm?abstract_id=2655024)
- Concentrated Ownership and Corporate Control: Wallenberg Sphere and Samsung Group, 14 **Journal of Korean Law** 39 – 59 (2014) [https://papers.ssrn.com/sol3/papers.cfm?abstract\\_id=2463272](https://papers.ssrn.com/sol3/papers.cfm?abstract_id=2463272)
- Favoritism and Corporate Law: The Confused Corporate Opportunity Doctrine in the Hyundai Motor Case, 3 **Michigan Journal of Private Equity & Venture Capital Law** 41 – 74 (2013) (w/ Seung-Hwan Lee and Stephen Woodcock) [https://papers.ssrn.com/sol3/papers.cfm?abstract\\_id=2308998](https://papers.ssrn.com/sol3/papers.cfm?abstract_id=2308998)
- **Korean Business Law** (Cheltenham, UK: Edward Elgar, 2012) 291 Pages: Edited Volume with contributions by Bernard Black, Brian Cheffins, Michael Klausner, Adam Pritchard et al. (Book Review in 4248 **The Barrister** [2012]) \* *Recognized by the Seoul National University Law Research Institute as the representative publication of 2012 supported by the Institute.* [http://www.e-elgar.co.uk/bookentry\\_main.lasso?id=14833](http://www.e-elgar.co.uk/bookentry_main.lasso?id=14833)
- How to Improve Corporate Governance and Transparency, in: **Globalization of the Korean Capital Markets** (Stanford University Walter H. Shorenstein Asia-Pacific Research Center, 2012)
- The Market for Corporate Control in Korea, in: **Korean Business Law** 237 – 258 (Edward Elgar, 2012); an updated and abbreviated version of The Case for Market for Corporate Control in

Korea, 2009 **Oxford University Comparative Law Forum 2**

- Private Equity in Korea: History, Industry and Policy, in: **Korean Business Law** 199 – 217 (Edward Elgar, 2012) (w/ Alice Z. Chen)
- Directors' Duties and Liabilities in Korea, in: **Korean Business Law** 1 – 26 (Edward Elgar, 2012) (w/ Sung-Joon Park); an updated and abbreviated version of Hwa-Jin Kim, Directors' Duties and Liabilities in Corporate Control and Restructuring Transactions: Recent Developments in Korea, 2006 **Oxford University Comparative Law Forum 2**
- A Global Structural Regulation of Financial Institutions?, 52-4 **Seoul Law Journal** 169 - 213 (2011)
- Importing Hazardous Substances from the United States?: The Poison Pill in Japan and Korea, 10 **Journal of Korean Law** 1 – 41 (2010) (w/ Haruka Okihara and Stephen Woodcock)
- **The Law and Practice of Corporate Acquisitions in Korea: Journal of Korean Law** Vol. 8 No. 2 (2009) 259 Pages: Edited Volume
- International Corporate Governance: A Select Bibliography, 8 **Journal of Korean Law** 201 – 226 (2008)
- The Case for Market for Corporate Control in Korea, 2009 **Oxford University Comparative Law Forum 2** <http://ouclf.iuscomp.org/the-case-for-market-for-corporate-control-in-korea>
- Legal Liability of Directors and Company Officials Part 2: Court Procedures, Indemnification and Insurance, and Administrative and Criminal Liability, 2008 **Columbia Business Law Review** 1 – 171 (w/ Bernard Black, Brian Cheffins, Martin Gelter, Richard Nolan, Mathias Siems, and Linia Prava Law Firm)
- Comparative Analysis on Legal Regulation of the Liability of Members of the Board of Directors and Executive Organs of Companies (Report to Russian Center for Capital Market Development, 2006) 320 Pages (w/ Bernard Black, Brian Cheffins, Martin Gelter, Richard Nolan, Mathias Siems, and Linia Prava Law Firm): European Corporate Governance Institute Law Working Paper No. 103/2008 (February 2008) <http://ssrn.com/abstract=1001990>
- A Tale of Three Companies: The Emerging Market for Corporate Control in Korea, in: **Transforming Corporate Governance in East Asia** 71 – 90 (Hideki Kanda et al. eds., Routledge, 2008)
- Legal Liability of Directors and Company Officials Part 1: Substantive Grounds for Liability, 2007 **Columbia Business Law Review** 614 – 799 (w/ Bernard Black, Brian Cheffins, Martin Gelter, Richard Nolan, Mathias Siems, and Linia Prava Law Firm)
- Directors' Duties and Liabilities in Corporate Control and Restructuring Transactions: Recent Developments in Korea, 2006 **Oxford University Comparative Law Forum 2**
- Cross-Listing of Korean Companies on Foreign Exchanges: Law and Policy, 3 **Journal of Korean Law** 1 – 16 (2003)
- Toward the “Best Practice” Model in a Globalizing Market: Recent Developments in Korean



Corporate Governance, 2 **Journal of Corporate Law Studies** 345-366 (2002)

- **Self-Regulation in the Korean Securities Market** (Korean Securities Law Association, 2002)  
86 Pages: Edited Volume
- Searching for a Model for Effective Self-Regulation in Korea, in: **Self-Regulation in the Korean Securities Market** 7-15 (Korean Securities Law Association, 2002)
- Taking International Soft Law Seriously: Its Implications for Global Convergence in Corporate Governance, 1 **Journal of Korean Law** 1 – 50 (2001) \* *Inaugural article of the Journal.*
- New Special Purpose Companies in Korean Financial Markets, in **Recent Transformations in Korean Society and Law** 171 – 196 (Dae-Kyu Yoon ed., Seoul National University Press, 2000)
- Living with the IMF: A New Approach to Corporate Governance and Regulation of Financial Institutions in Korea, 17 **Berkeley Journal of International Law** 61 – 94 (1999)
- Markets, Financial Institutions, and Corporate Governance: Perspectives from Germany, 26 **Georgetown Journal of International Law** 371 – 405 (1995)

#### ***In German***

- Unterschiedliche Abgrenzung der 200 Seemeilen-Wirtschaftszone und des Festlandsockels? (Single Maritime Boundary Regime for the Exclusive Economic Zone and the Continental Shelf?), 40 **Zeitschrift für öffentliches Recht** (Austrian Journal of Public and International Law) 257 – 292 (1989)
- **Die Abgrenzung der Wirtschaftszone und die Frage einer dualistischen Abgrenzung: Perspektiven in Ostasien** (The Delimitation of the Exclusive Economic Zones in East Asia) (Munich: Verlag V. Florentz, 1989) 187 Pages \* *Ludwig-Maximilians-University Munich Dr. Jur. Thesis, 1988.*

#### ***In Russian***

- **Правовое Регулирование Ответственности Членов Органов Управления: Анализ Мировой Практики** (Legal Regulation of the Liability of Members of Management Organs: An Analysis of International Practice) (Moscow: Alpinabook, 2010) 332 Pages (w/ Bernard Black, Anastasiya Farukshina, Brian Cheffins, Martin Gelter, Richard Nolan, Mathias Siems, and Linia Prava Law Firm) <https://www.alpinabook.ru/catalog/Law/6565-comments/>
- Отчет о результатах сравнительного анализа правового регулирования ответственности членов органов управления хозяйственных обществ (Comparative Analysis on Legal Regulation of the Liability of Members of the Board of Directors and Executive Organs of Companies) (Report to Russian Center for Capital Market Development, 2006) 320 Pages (w/ Bernard Black, Brian Cheffins, Martin Gelter, Richard Nolan, Mathias Siems, and Linia Prava

Law Firm): European Corporate Governance Institute Law Working Paper No. 103/2008  
(February 2008) <http://ssrn.com/abstract=1001991>

***In Korean***

Books and Edited Volumes

- **Families and Managers** (Seoul: thebell, 2020) forthcoming ca. 400 Pages
- **Mergers and Acquisitions: History and Strategy** (Seoul: thebell, 2019) 515 Pages
- **Stanford Went to Harvard** (Seoul National University Press, 2018) 348 Pages  
Seoul National University Alumni Association Journal (Book of the Month)  
<http://snua.or.kr/magazine/list.asp?mssq=04004000>
- Newsis  
[http://www.newsis.com/view/?id=NISX20181224\\_0000511351&cID=10701&pID=10700](http://www.newsis.com/view/?id=NISX20181224_0000511351&cID=10701&pID=10700)
- News1  
<http://news1.kr/articles/?3509469>
- Money Today  
<http://news.mt.co.kr/mtview.php?no=2018122608261281362>
- Jung-Ang Ilbo  
<https://news.joins.com/article/23234757>
- Domg-A Ilbo  
<http://news.donga.com/List/CultureLiter/3/all/20181226/93445537/1>
- University News Network  
<http://news.unn.net/news/articleView.html?idxno=205372>
- Readers News  
<http://www.readersnews.com/news/articleView.html?idxno=91546>
- Maeil Business Newspaper  
<http://news.mk.co.kr/newsRead.php?year=2019&no=24003>
- **Governance and Innovation in Large Korean Firms** (Seoul: thebell, 2018) 297 Pages
- **Behind the Screen** (Seoul: Star News, 2018) 375 Pages
- **Korea Agenda 2018** (Seoul: Nanok, 2018) 310 Pages (one of 21 co-authors)
- **Corporate Governance** (Seoul: thebell, 2017) 1212 Pages
- **Theories in International Law** (Seoul: Pakyoungsa, 2017) 257 Pages
- **Law and Business** (Seoul: Pakyoungsa)  
7<sup>th</sup> ed., 2017, 602 Pages; 6<sup>th</sup> ed., 2016, 698 Pages; 5<sup>th</sup> ed., 2015, 726 Pages; 4<sup>th</sup> ed., 2013, 599  
Pages; 3<sup>rd</sup> ed., 2012, 445 Pages; 2<sup>nd</sup> ed., 2011, 443 Pages; 1<sup>st</sup> ed., 2010, 366 Pages
- **Korea Agenda 2017** (Seoul: Nanok, 2017) 402 Pages (one of 20 co-authors)

- 2017 Publication Industry Promotion Agency of Korea Book Award Winner

- **Theoretical Foundations of Securities Regulation** (Seoul: Pakyungsa)  
2<sup>nd</sup> ed., 2016, 423 Pages  
1st ed., 2014, 243 Pages - 2015 Korean National Academy of Sciences Book Award Winner
- **The Gaesung Complex as Industrial Cluster for Reunification** (Seoul: Nanok, 2015) 163 Pages (one of 15 co-authors)
- **Investment Banking** (Seoul: thebell)  
2<sup>nd</sup> ed., 2015, 1117 Pages; 1st ed., 2013, 921 Pages
- **A Samsung Electronics in Finance** (Seoul: thebell, 2014) 303 Pages
- **Hollywood Politics and Economics** (Seoul: Human Cube, 2014) 311 Pages
- **Corporate Finance and Governance** (Seoul: Pakyungsa)  
2<sup>nd</sup> ed., 2012, 1102 Pages  
1st ed., 2009, 871 Pages - 2010 Korean National Academy of Sciences Book Award Winner
- **Mergers and Acquisitions** (Seoul: Pakyungsa, 2007) 414 Pages (w/ O. R. Song)  
- 2008 Korean Ministry of Culture, Sports and Tourism Book Award Winner
- **The Law and Practice of the Board of Directors** 2<sup>nd</sup> ed. (Seoul: Pakyungsa, 2007) 408 Pages
- **Hostile Takeover and Defensive Tactics** (Seoul National University Center for Financial Law, 2007) (w/ O. R. Song) 327 Pages: Edited Volume
- **Legal Analysis of M&A Contracts (Business Finance Law Vol. 20, 2006, Seoul National University Center for Financial Law)** 140 Pages: Edited Volume
- **The Law and Practice of the Board of Directors** (Seoul: Pakyungsa, 2005) 343 Pages
- **The Law and Practice of Takeovers (Business Finance Law Vol. 6, 2004, Seoul National University Center for Financial Law)** (w/ K. S. Kim) 129 Pages: Edited Volume
- **Corporate Ownership and Control** (Seoul: Pakyungsa, 2003) 582 Pages  
- 2005 Korean National Academy of Sciences Book Award Winner
- **M&A and Corporate Control** (Seoul: Pakyungsa)  
3<sup>rd</sup> ed., 1999, 432 Pages; 2<sup>nd</sup> ed., 1999, 411 Pages; 1st ed., 1997, 360 Pages
- **M&A Across the European Communities and the Governing Regulations** (Seoul: First Economic Research Institute, 1992) 117 Pages
- **The European Financial Common Market** (Seoul: First Economic Research Institute, 1991) 183 Pages

#### Articles and Book Chapters

- Corporate Governance of Investment Companies, 92 **Korea Corporate Governance Service Review** OO - OO (2019)
- Contemporary Theories on the Function of International Law, 161 **Korean Society of Law**

- Journal** 297 – 332 (2017)
- Dual-Class Capital Structures under the U.S. Corporate Law, 78 **Korean Ministry of Justice Law Journal** 29 – 48 (2017); an abbreviated version in 84 **Korea Corporate Governance Service Review** 42 - 58 (2017)
  - The South China Sea Dispute and the Changing Regional Order in Asia, 23-2 **Seoul International Law Journal** 1 – 30 (2016)
  - Evolution and Transformation of Corporate Law, 38 **Korean Supreme Court Review** 245 – 274 (2016)
  - Corporate Governance and Corporate Law in Germany: Recent Developments, 75 **Korean Ministry of Justice Law Journal** 133 – 155 (2016)
  - Business Corporations in the New International System and International Law, 457 **Korean Bar Association Journal** 89 – 103 (2016)
  - Portfolio Asset Management and the Capital Markets Act, 35 **Korean Supreme Court Review** 117 – 156 (2016) (w/ M. K. Lee)
  - Empowering Shareholders Through the Shareholders Meeting, 73 **Korean Ministry of Justice Law Journal** 5 – 47 (2016)
  - The Law Review Institution in the United States, 155 **Korean Society of Law Journal** 407 – 421 (2016)
  - Economic and Financial Sanctions and Enforcement of International Law, 154 **Korean Society of Law Journal** 213 – 239 (2016)
  - Case Review: Elliott Management v. Samsung C&T Corporation, 72 **Korean Ministry of Justice Law Journal** 179 – 196 (2015)
  - The New Global Financial System and International Law, 451 **Korean Bar Association Journal** 21 – 37 (2015); an abbreviated version in 169 **Korea Financial Investment Association Journal** 30 - 46 (2015)
  - Corporation, Politics, and Religion: Constitutional Law and Corporate Law, 149 **Korean Society of Law Journal** 5 – 30 (2015)
  - Towards a New Definition of Public Corporation in Corporate and Securities Laws, 444 **Korean Bar Association Journal** 54 – 72 (2014)
  - Silence and Half-Truths: Civil Law and Securities Regulation, 15-3 **Korean Journal of Securities Law** 35 – 69 (2014)
  - Equal Treatment in Corporate and Capital Market Laws, 68 **Korean Ministry of Justice Law Journal** 3 – 32 (2014)
  - Rethinking Causation in Securities Fraud Litigations, 144 **Korean Society of Law Journal** 209 – 239 (2014); an earlier version in 162 **Korea Financial Investment Association Journal** 49 - 58 (2014)
  - Regulation of Shadow Banking under the New Capital Markets Law, 155 **Korea Financial**

**Investment Association Journal** 12 - 22 (2013)

- Cultivating Bank Directors' Duty to Monitor Business Risk: Citigroup Shareholder Derivative Litigation, 14 **Korea Financial Investment Association Journal** 24 - 33 (2012) (w/ J. Y. An)
- Control Premium in Corporate Control Contests, 427 **Korean Bar Association Journal** 81 – 94 (2012)
- Buy-back of Redeemable Preferred Shares from Distributable Reserves, 421 **Korean Bar Association Journal** 105 – 114 (2011)
- Review Essay: From Bilateralism to Community Interest: Essays in Honour of Judge Bruno Simma, 52-3 **Seoul Law Journal** 623 – 632 (2011)
- Corporate Governance of Banks and Bank Director Liability, 51-4 **Seoul Law Journal** 151 – 184 (2010)
- The Financial Services Industry in the Global Financial Crisis: History and Strategies, 51-3 **Seoul Law Journal** 125 – 180 (2010); an abbreviated version in 32 **Korea Association for Chief Financial Officers Quarterly Journal** 60 – 73 (2009)
- Government in Corporate Governance, Part 2, 409 **Korean Bar Association Journal** 23 – 38 (2010) \* *Recognized by the Korean Bar Association as the best article of 2010.*
- Government in Corporate Governance, Part 1, 408 **Korean Bar Association Journal** 60 – 81 (2010) \* *Recognized by the Korean Bar Association as the best article of 2010.*
- The Curious Case of Shareholder-Manager Power Struggle, 50-2 **Seoul Law Journal** 415 – 449 (2009)
- The Appraisal Remedy Revisited, 393 **Korean Bar Association Journal** 29 – 45 (2009)
- Freeze-out of Minority Shareholders under the Draft New Commercial Code, 50-1 **Seoul Law Journal** 321 – 350 (2009)
- The Content Wars: Convergence and the Media Merger, 109 **Korean Society of Law Journal** 136 – 160 (2009)
- The Global Energy Industry and International Relations, 2-1 **Journal of Law & Economic Regulation** 147 – 171 (2009)
- Porsche v. Volkswagen, 2 **Korea Financial Investment Association Journal** 11 - 21 (2009)
- Review Essay: Der Gleichbehandlungsgrundsatz im Recht der Kapitalgesellschaften, 49-3 **Seoul Law Journal** 492 – 501 (2008)
- CEO Pay and Article 368 Paragraph 4 of the Commercial Code, 102 **Korean Society of Law Journal** 52 – 64 (2008)
- Listed Companies and the Draft New Commercial Code, 49-1 **Seoul Law Journal** 159 – 230 (2008)
- Takeovers and Information Technology Firms, in: **Science, Technology and Law** 522 – 545 (Seoul National University Center for Law and Technology, 2007); reprinted in 36 **Korea Corporate Governance Service Review** 12 – 28 (Korea Corporate Governance Service, 2008)

- Corporate Finance from a Legal Perspective, 49-4 **Seoul Law Journal** 518 – 573 (2008)
- The Role and Legal Status of the Chief Financial Officers in Large Korean Companies, 49-2 **Seoul Law Journal** 97 – 132 (2008)
- A History of Investment Banking: Politics and Capital Markets, 134 **Korea Securities Dealers Association Journal** 94 – 123 (2008)
- Conflicts of Interest in Investment Banking, 14-3 **Korean Journal of Comparative Private Law** 33 – 91 (2007); reprinted in 25 **Korea Association for Chief Financial Officers Quarterly Journal** 28 – 49 (2009)
- The Emerging Market for Corporate Control and Hedge Fund Activism in Korea, 48-1 **Seoul Law Journal** 236 – 279 (2007)
- Corporate Opportunity Doctrine in the Draft New Commercial Code, 30 **Korea Corporate Governance Service Review** 15 – 35 (2007) (w/ B. S. Moon)
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- Comments on the Draft Amendment to the Capital Markets Act on M&A Brokerage, September 7, 2016 (noted in **Chosun Biz**, **Money Today**, **Maeil Business Newspaper**, **Yonhap News**, **Korea Economic Daily TV**, edaily)
- Chair of the Roundtable Discussion: Financial Reform, November 5, 2015 (attended by Speaker of the National Assembly, Majority Leader, Chairman of the National Policy Committee, and other members of the National Assembly; noted in **Money Today**, edaily, **Yonhap News**)
- Comments on the Draft Amendments to the Capital Markets Act, March 13, 2012 (noted in **Seoul Economic Daily**, edaily, **Yonhap News**)

- Commercial Banking and Investment Banking, November 1, 2010 (noted in **Korea Economic Daily, Money Today, Maeil Business Newspaper**)
- Comments on the Draft Capital Markets Act, April 12, 2007 (noted in **edaily, Money Today, Korea Economic Daily, Financial News**)
- Socio-Economic Implications of Takeovers and Defenses, May 3, 2006
- Comments on the Draft Amendments to the Securities and Exchange Act, November 8, 2001

#### **Editorial Boards**

- **Corporate Ownership and Control** (Virtus Interpress, Ukraine, 2017 – Present)  
<http://www.virtusinterpress.org/Editorial-Board-and-External.27.html>
- **Journal of Financial Regulation** (Oxford University Press, 2012 - Present)  
[http://www.oxfordjournals.org/our\\_journals/jfr/editorial\\_board.html](http://www.oxfordjournals.org/our_journals/jfr/editorial_board.html)
- **Journal of Korean Law** (Seoul National University School of Law, 2006 – 2016; Editor-in-Chief, 2007 - 2009)
- **Korean Bar Association Journal** (2009 - 2013)
- **Korea Association for Chief Financial Officers Quarterly Journal** (2005 - 2012)
- **Korean Journal of Securities Law** (Korean Securities Law Association, 1999 - 2008).

#### **Korean Government Advisory (Committee Memberships)**

- Korea National Pension Fund Alternative Investment Committee, 2016 – 2017
- Korea National Pension Fund Proxy Advisory Committee (representing Korean National Agricultural Cooperative Federation), 2007 – 2011
- Korea Financial Supervisory Service, 2007, 2009 - 2011
- Korea Fair Trade Commission, 2005 - 2007
- Korean Ministry of Justice, 2007 - 2008
- Office of the President of Korea, 2005
- National Economic Advisory Council to the President of Korea, 2005 - 2006

#### **Korean Industries Advisory (Committee Memberships)**

- Korean Institute of Directors, 2006 - 2009
- Federation of Korean Industries, 2003 – 2006, 2015 – 2016
- Korea Chamber of Commerce and Industry, 1999 - 2001
- Korea Federation of Small and Medium Business, 1999 - 2000
- KOSDAQ Listed Companies Association, 2000 - 2008

- Korea Listed Companies Association, 2002 - 2003
- Korea Corporate Governance Service, 2005 -2009, 2016 - Present

**The NEXT Corporate Governance Conferences (Organizer and Chair)** 2011 – Present

[http://link.thebell.co.kr/thenext/global.asp?ev\\_theme=thenext](http://link.thebell.co.kr/thenext/global.asp?ev_theme=thenext)

- 2019 – **Current Issues of Corporate Governance: Active Ownership, Corruption, Related-Party Transactions**, Millennium Seoul Hilton, Korea, September 20, 2019 (Speakers from NYU, Michigan, Berkeley, Munich, Newcastle, London Business, Hong Kong, IESEG Paris, and CUHK)
- 2018 – **Global and Regional Trends in Corporate Governance**, Millennium Seoul Hilton, Korea, September 20, 2018 (Speakers from UBC, NUS, Durham, Michigan, AUM, Peking, and CUHK)
- 2017 – **A New Look at the Role of Institutional Investors in Corporate Governance**, Millennium Seoul Hilton, Korea, September 22, 2017 (Speakers from Toronto, NYU, Michigan, Tilburg, Hamburg, UCLA, and UHK)
- 2016 – **International Investment and Dispute Resolution**, Millennium Seoul Hilton, Korea, September 23, 2016 (Speakers from Michigan, Max Planck Institute Luxembourg, UHK, Hong Kong International Arbitration Center, and SNU)
- 2015 - **The Global State of Regulation of Business and Finance**, Millennium Seoul Hilton, Korea, September 18, 2015 (Speakers from Michigan, Northwestern, Tilburg, NUS, CUHK, Korea University, and SNU)
- 2014 - **The New Trend in Corporate Finance**, Millennium Seoul Hilton, Korea, October 26, 2014 (Speakers from Michigan, NYU, Oxford, York, Goldman Sachs, SNU, and Korea Financial Services Commission)
- 2013 - **The Global State of Corporate Governance**, Millennium Seoul Hilton, Korea, October 25, 2013 (Speakers from Michigan, NUS, Peking, Korea University, SNU, Standard Chartered, and KIF)
- 2012 - **The Good Capital: Toward a New Definition of Value in the Capital Markets**, The Westin Chosun Seoul, Korea, September 21, 2012 (Speakers from IDC Herzliya, Tokyo, Tilburg, and UNPRI)
- 2011 - **A New Era of Business and Finance in East Asia**, Lotte Hotel Seoul, Korea, October 14, 2011 (Speakers from Michigan, Oxford, NUS, Tokyo, Peking, ING Group, Bank of Korea, and KAIST)

**International Academic and Professional Conferences (Organizer)**

- The Role of Culture and Tradition in Family Law Reforms – A **Journal of Korean Law** Conference with **Emory University School of Law**, Atlanta, January 30, 2009
- Law in the Information and Knowledge Society – Joint Academic Conference of Seoul National University College of Law and **University of Freiburg Faculty of Law**, Freiburg i.B., Germany, July 16 – 18, 2008
- Current Legal Problems in Taiwan and Korea: A Comparative View - Joint Academic Conference of Seoul National University College of Law and **National Taiwan University College of Law**, Seoul, Korea, October 11, 2007
- Legal Approach to Regional Cooperation in East Asia: 1st Annual Beseto Conference, Seoul, Korea, September 14, 2007, Seoul National University College of Law, **University of Tokyo Faculty of Law**, and **Peking University Law School**
- International Conference on the Conflicts of Interest in Investment Banking Institutions, **Korea Financial Investment Association**, Seoul, Korea, November 2, 2006 (Speakers from Michigan and LSE)
- Takeovers and Anti-raid Strategy: Lessons from the United States and Japan, May 23 and 24, 2006, joint seminar for select Korean companies by **Woo Yun Kang Jeong & Han and Skadden Arps Slate Meagher & Flom**
- **Stanford Law School** International Conference on Cross-Listing of Emerging Market Companies on Foreign Exchanges, November 22 – 23, 2002 (w/ Bernard S. Black) (Speakers from Stanford, Columbia, SNU, Ohio State, Berkeley, NYSE, Vanderbilt, and Indiana)
- **Korea Stock Exchange** International Conference on Self-Regulatory Institutions in the Korean Securities Markets, Korea Stock Exchange, December 7 - 8, 2001 (Speakers from Stanford, Michigan and Cambridge)
- The Korea-Japan International Conference on the New Financial Instruments, September 21, 2001, Co-sponsored by the **Korea Securities Dealers Association and the Korea Listed Companies Association**

#### **International Academic and Professional Conferences (Moderator)**

- **Toward Principles Based Regulation of the Capital Markets**, Korean National Assembly, November 3, 2016 (Organized by Economic Policy Forum of the Korean National Assembly and Korea Financial Investment Association)
- **Asia Securities Forum 2015: Overseas Expansion of Asian Securities Companies**, The Westin Chosun Seoul, Korea, September 22, 2015 (Organized by Korea Financial Investment Association)
- **The Korean Stock Market at a Crossroads**, The Westin Chosun Seoul, Korea, September 25, 2014 (Organized by Korea Capital Market Institute)

- **Seoul Securities Financing Conference:** Korean Securities Lending & Repo, Conrad Hotel, Seoul, Korea, April 10, 2013 (Organized by Korea Securities Depository)

#### **Talks and Speeches (International)**

- What Next for Asia's Financial Architecture?: A Korean Perspective, Guest Speech in International Finance class (Professor Michael Barr), **Michigan Law School**, January 25, 2017
- Panelist at **Journal of Financial Regulation** 2016 Annual Conference: **Integration and Interconnectedness in Global Finance**, June 24-25, 2016, Hong Kong, jointly organized by University of Oxford, University of Hong Kong and National University of Singapore
- Financial Regulation and Supervision in Corporate Governance of Banks, Talk at **MT – The Bell Global Conference The Next: The Global State of Regulation of Business and Finance**, Millennium Seoul Hilton, Korea, September 18, 2015
- Private Enforcement of Company Law and Securities Regulation in Korea, Talk at the **Michigan Law School and Chinese University of Hong Kong Faculty of Law Conference** on Private Enforcement of Company Law and Securities Regulation: China and the World, December 13 & 14, 2014, Hong Kong
- Favoritism and Corporate Law: The Corporate Opportunity Doctrine, Talk at **MT – The Bell Global Conference The Next: The Global State of Corporate Governance**, Millennium Seoul Hilton, Korea, October 25, 2013
- Shareholder and Hedge Fund Activism, Patient Capital, and 'Good Capital,' Keynote Speech at **MT – The Bell Global Conference The Next: The Good Capital: Toward a New Definition of Value in the Capital Markets**, The Westin Chosun Seoul, Korea, September 21, 2012
- How to Improve Corporate Governance and Transparency, Talk at the Conference Globalization of the Korean Capital Markets, **Stanford University Walter H. Shorenstein Asia-Pacific Research Center**, April 20, 2012
- Corporate Governance Reform in Korea 1997 – 2009: An Epic Journey, Talk co-organized and co-sponsored by **Michigan University Center for Korean Studies** and **Center for International and Comparative Law** and **Asia Law Society of Michigan Law School**, February 18, 2010
- The Case for Market for Corporate Control in Korea, Talk at Michigan Law School Asia Law Society's 2009 Symposium: Convergence or Cataclysm – Corporate Governance and Market Development in Asia, **Michigan Law School**, March 21, 2009
- SNU Law Goes Global, talk before students and professors of **Kazakh University of the Humanities and Law**, April 24, 2008
- The Abusive Investor, Discussion at the **10<sup>th</sup> Financial Crisis Anniversary High-Level Experts Roundtable** organized by Korean National Strategy Institute and JoongAng Ilbo, November 21,

2007

- Ethics and Accountability for Institutional Investors, Discussion at the 2007 International Symposium on Corporate Governance Reform in Korea organized by **Korea Exchange** and **Korea Corporate Governance Service**, October 10, 2007
- The Emerging Market for Corporate Control in Korea, Presentation at 1st Annual **Peking Law School - University of Tokyo School of Law - Seoul National University College of Law Conference**, September 14, 2007
- SNU Law Goes Global, Lunch talk before some 20 faculty members of **Tel Aviv University The Buchmann Faculty of Law**, August 15, 2007
- Liabilities of Outside Directors, Talk at the International Conference on the Role and Responsibility of Outside Directors in Building an Excellent Company, sponsored by the **Korea Listed Companies Association**, December 14, 2006
- Corporate Governance of Korean Firms, Lecture given to the government officials of the **People's Democratic Republic of Algeria**, KDI School of Public Policy and Management, November 22, 2006
- Unified Capital Market Law and Conflict-of-Interests within Investment Banks, Speech at international conference sponsored by **Korea Securities Dealers Association**, November 2, 2006
- Corporate Governance and the Capital Markets, Lecture given to the government officials of the **People's Democratic Republic of Algeria**, KDI School of Public Policy and Management, November 20, 2006
- A Tale of Two Companies: The Emerging Market for Corporate Control in Korea, East Asia Corporate Governance Seminar, **University of Tokyo School of Law**, September 30, 2006
- Corporate Governance and Enforcement, Discussion at Workshop 7, presented by **the KDI School of Public Policy and Management and the World Bank**, of the 6<sup>th</sup> Global Forum on Reinventing Government sponsored by the United Nations and the government of the Republic of Korea, May 24 – 27, 2005
- Corporate Governance and the Role of Corporate Law, Panelist at International Conference co-hosted by **Asian Corporate Governance Institute and Global Corporate Governance Forum, World Bank**, May 19-20, 2005
- The Business Judgment Rule and Directors' Liabilities, Panelist Comment at the 1<sup>st</sup> **Duke University Business School – Seoul National University Business School Symposium: Corporate Governance Reform**, Seoul, November 13, 2003
- Cross-Listing of Korean Companies on Foreign Exchanges: Law and Policy, Presentation at the **Stanford Law School** International Conference on Cross-listing of Emerging Market Companies on Foreign Exchanges, November 23, 2002
- Success Strategies as an LL.M. Student, Lunch talk given to the graduate students of **Stanford Law School**, August 28, 2002

- Corporate Governance, Stakeholder Capitalism, Social Responsibility, and the Culture: A Korean Perspective, Discussion at the first **IICGA Conference at George Washington University**, June 1-2, 2001
- Globalization and Law for the 21<sup>st</sup> Century, Panelist Discussion at the International Symposium Sponsored by **Seoul National University College of Law**, Oct. 10, 1997.

#### **Invited Lectures**

- Seoul National University in Creative California Program
- Seoul National University International Summer Institute
- Bank of Korea
- Inha University
- Korea Aerospace University
- Seoul National University Department of Mathematical Sciences
- Ewha Womans University College of Business Administration
- Yonsei University School of Business
- Yonsei University Department of Economics
- Hankuk University of Foreign Studies
- Sogang University Department of Business Administration

#### **Talks and Speeches (Board of Directors of Korean Companies)**

- Hyundai Motor Company
- Samsung C&T Corporation
- Samsung Securities
- Macquarie Korea Infrastructure Fund Corporation
- Woori Financial Group

#### **Talks and Speeches**

- Korean Financial Services Firms Roundtable (CEOs)
- Conference of Korean Bank Presidents and CEOs of Financial Firms with Members of Korean Parliament
- Korea Corporate Governance Service
- Korea Engineers Club
- Seoul National University Graduate School of Public Administration
- Korea Institute of Finance



- Korea Development Bank (Executives and Managers)
- Korea Financial Investment Association
- Seoul National University Department of Economics
- Korea Fixed Income Research Institute
- Korea Institute of Public Finance
- Korean Finance Association
- Civil Law Practice Study Association of the Korean Supreme Court
- Korean Legal Center
- Korean Law and Economics Association
- Korean Association of Comparative Private Law
- Korea Development Institute
- Korea Securities Research Institute
- Korean Environmental Law Association
- Seoul National University Institute of Management Research
- Korean Securities Law Association
- Korean Association of Bankruptcy Law
- Presidential Committee on Judicial Reform
- Korean Academic Society of Business Administration
- Ahnmin Institution
- Korean Civil Law Case Study Association
- Korea Institute for Industrial Economics and Trade
- Korea International Trade Law Association
- Financial Research Center of Korea
- Knowledge Society Research Association

**Seoul National University Executive Education**

**Business School**

- Advanced Program for Managers of State-Owned Enterprises
- Executive MBA Program
- Global Investment Banking Academy
- CFO Academy
- Advanced Banking Program
- Korea Development Bank MBA Program
- KT Corporation
- STX Group MBA Program

**School of Law**

- Advanced Leadership Program
- Advanced Corporate and Financial Laws Program
- Human Rights Program

**College of Engineering**

- Advanced Industrial Policy Program

**College of Human Ecology**

- Advanced Fashion Business Program

**Graduate School of Public Administration**

- Advanced Center for Administrative Development

**Yonsei University Business School Executive Education**

- Samsung Securities
- KB Financial Group
- Korea Electric Power Corporation

**Korean In-House Executive Education (2006 – Present)**

- Mirae Asset Financial Group
- SK Holdings
- Hynix Semiconductor
- Korean Institute of Directors
- Korean Association of Compliance Officers
- Chartered Financial Analyst Exam Prep Program
- Shinhan Bank
- STX Group
- SK Telecom
- Korea Listed Companies Association

- SK Group
- LG Group
- CJ Group
- Korea Chamber of Commerce and Industry
- Federation of Korean Industries
- Kumho Asiana Group
- Hanwha Group
- PriceWaterhouseCoopers Samil Accounting Corporation
- Hyundai Capital / Hyundai Card
- Lotte Group
- Hana Financial Group
- Korean Bar Association
- Seoul Bar Association

#### **Fellowships and Awards**

- Senior Research Fellow, New York University Stern School of Business Pollack Center for Law & Business, 2018 - 2019
- Book Award of the Publication Industry Promotion Agency of Korea, 2017
- Book Award of the Korean National Academy of Sciences, 2015
- Seoul National University School of Law Research Excellence Award, 2011
- Book Award of the Korean National Academy of Sciences, 2010
- Seoul National University School of Law Research Excellence Award, 2009
- Book Award of the Korean Ministry of Culture, Sports and Tourism, 2008
- Faculty Fellow, Seoul National University Institute for Research in Finance and Economics, 2008 – Present
- Faculty Fellow, Seoul National University Law Research Institute, 2007 – Present
- Faculty Fellow, Seoul National University Asia-Pacific Law Research Institute, 2014 - Present
- Book Award of the Korean National Academy of Sciences, 2005
- Fellow, Korea Corporate Governance Service, 2005 – 2009
- Fellow, George Washington University International Institute for Corporate Governance and Accountability, 2001 - 2003
- James Nelson Raymond Fellow, Northwestern University School of Law, 1992
- Adenauer Scholar, Konrad Adenauer Foundation, Germany, 1987 - 1989
- Free State of Bavaria Scholar, Germany, 1987

#### **M&A, Corporate Governance and Finance Law Practice, 1994 - 2006**

**Representative Projects in Law Practice** \*150 to 300 or more billable hours worked.

- **Goldman Sachs**, financial advisor to KT&G Corporation, Korea, in its takeover defense against Carl Icahn (2006)
- **Hyundai Group**, Korea, in its takeover defense, restructuring and strategic alliance with foreign investors, in co-operation with Citigroup (2004 - 2006) \* *The largest corporate control contest in Korean history.*
- **Samsung Electronics**, General anti-raid strategy (2005)
- **Goldman Sachs**, financial advisor to the consortium of major shareholders of KorAm Bank, in a sale of GDRs of the bank to and tender offer by Citigroup (2003 – 2004)
- **Shinhan Financial Group** in its compliance with Basle II in co-operation with Samil PricewaterhouseCoopers (2004)
- **Eight Largest Korean Accounting Firms** (Affiliates of PricewaterhouseCoopers, Ernst & Young, BDO, Deloitte & Touche, Deloitte Touche Tohmatsu, Grant Thornton and KPMG) in their compliance with the Sarbanes-Oxley Act (2003 - 2004)
- **The Carlyle Group** in its alliance with LG Group in the proxy contest for Hanaro Telecom, Korea, in co-operation with Citigroup (2003) \* *The largest proxy contest in the Korean capital market.*
- **Lehman Brothers** in its joint venture project with Woori Finance Holdings Company, Korea (USD 1 Billion) (2002) \* *FinanceAsia.com's Best Distressed Debt Deal of the Year 2002 and the largest NPL deal in Korean history.*
- **Union Steel**, Korea, in its takeover defense and self-tender offer (2001) \* *The first ever self-tender offer launched in the Korean capital market.*
- **SK Group**, Korea, in its sale of shares in SK Telecom to a foreign strategic alliance partner (USD 2.9 Billion), in co-operation with Goldman Sachs (2000-2001)
- **Hynix Semiconductor** (Hyundai Electronics), Korea, in its restructuring and divestiture, in co-operation with SalomonSmithBarney (2000-2001), and in its joint venture with Morgan Stanley (2001)
- **GE Asset Management** in its compliance with local financial regulations (2000 – 2003)
- **SK Corporation**, Korea, Rule 144A and Regulation S Exchangeable Bonds USD 1.68 Billion, in co-operation with Goldman Sachs and Credit Suisse First Boston (2001-2002) \* *FinanceAsia.com's Most Innovative Equity Deal of the Year 2002; International Financial Law Review Asian Legal Deals of the Year 2002 – Debt and Equity-Linked Deal of the Year.*
- **SK Telecom** American Depositary Shares USD 1.68 Billion, in co-operation with Goldman Sachs and Credit Suisse First Boston (2001-2002)
- A leading CATV company in Korea in its joint venture with Chase Manhattan and American

International Group (2000)

- Daewoo Securities' Restructured Corporate Loan Portfolio Sale, representing **GE Capital** (2000)
- **SK Telecom**, Korea, in its acquisition of Shinsegi Telecomm, Korea (USD 3 Billion) (1999-2000)  
*\* The largest M&A deal in Korean history.*
- Korea Merchant Banking Corporation, Korea v. **Hyundai Investment Trust Management**, Korea (1999)
- Daewoo Securities (Europe), UK v. **Hyundai Investment Trust Management**, Korea (1999)
- Korea Asset Management Corporation's Restructured Corporate Loan Portfolio Sales (followed by an ABS Transaction), representing **Goldman Sachs** (1998 – 1999)
- Morgan Guarantee Trust, USA v. **SK Securities**, Korea (1998-1999)
- **Hyundai Investment Trust Management's** offshore and Russian investments in fixed-income securities (1998-1999)
- **CKD Corporation**, Korea, in its acquisition and restructuring of the Parekh Chemicals Group, Switzerland, Italy and Netherlands (1994-1998).
- **Parekh Chemicals Group** in its refinancing with Credit Suisse, Zurich, Switzerland (1995-1997)
- Licensing-out of **CKD Corporation's** Cipol-N to American Home Products, USA, and Novartis, Switzerland (1996-1998)
- Bayer, Germany v. **Parekh Chemicals Group** (1994-1998)
- Hoffmann-La Roche, Switzerland v. **CKD Italia**, Italy (1994-1998)